NERL

INDIRA Gateway to Prosperity

INDEX			
S.No.	**Name of the Document**	**Brief Significance of the Document**	**Page No.**
1			
2			
3			
4			
5			
6			
7			
8			

Membership No., SEBI Registration No. & Date : **CIN - U67120MH1996PTC160201**

Exchange	Membership No.	SEBI Registration No. & Date
NSE	12866	INZ000188930 / 01.08.2018
BSE	663	INZ000188930 / 01.08.2018
CDSL	17000	IN - DP - CDSL - 90 - 2015 / 03.07.2015
MCX	56470	INZ000188930 / 01.08.2018
NCDEX	01277	INZ000188930 / 01.08.2018

Clearing Member for Currency Derivatives: ICICI Bank Limited (M. No. 4). **GSTIN : 23AADCI2772F1ZW**

Registered office address: **"Indira House" 5 Topiwala Lane, Lamington Road, Grant Road (East), Mumbai - 400007**

Phone : **022-66224202-204** Fax : **022-66224200** Website: **www.indiratrade.com**

Correspondence office address : **204,205, Amardarshan Building, 28/2 Old Palasia, Indore - 452018 (M.P)**

Phone : **0731-4797170-71-72-73** Fax : **0731-2562117** Website: **www.indiratrade.com**

Compliance officer name, Phone No. & email id : **Jyoti Singh - 0731-4797151 compliance@indiratrade.com**

Director Name, Phone No. & email id : **Sanjay C. Baxi - 022-66224220 sanjaybaxi@yahoo.co.in**

Join us on



NERL

INDIRA Gateway to Prosperity

DP TARIFF SCHEDULE			
SCHEMES	**AMC Based**	On Sale Through POA	0.010% (Min Rs. 10)
Legal Documentation Charges	Nil	KRA Charges	Rs. 40/-Per Pan No.
Power of Attorney	Rs 130/-	Demat Charges	Rs. 5/- Per Certificate + Rs.35/- Courier Charge for per DRN.
Individual & HUF AMC	Rs 400/-	Remat Charges	Rs 50/- Per RRN
Corporate	Rs 1200/-	Pledge Creation	Rs 50/- Per PSN. or 0.02% Whichever is higher
Transaction	Rs 20/- or 0.020% or Negotiable	Pledge Closure	Rs 50/- Per Closure
On Purchase	NIL	Book Charges	Rs 20/-
Pledge creation & closure confirmation	NIL	Fail Instruction charges	Rs. 25/- Per Instruction
Special Scheme - Deposit Rs. 3000/- (Refundable at the time of closing of A/c.) for life time AMC.			

1. Fees Schedule are based on existing CDSL charges and may be changed at our discretion & will be informed before 30 days of implementation. 2. DRF would be accepted against up front payment. 3. Rejection of DRF will be charged at Rs. 35 of Registry only. 4. Service Tax and other levis would be extra as applicable.

Demat Schemes (AMC):	**Standard** ☐ **/ Other** ☐ **/ Rs. 3000** ☐ **/ Rs. 1500** ☐ **/ BSDA** ☐	
Account Opening Fee:	**Standard** ☐ **/ Other** ☐	
A/c Type:	**Direct** ☐ **/ Auth. Person** ☐	
RM/REM Code		**RM Name**

RP TARIFF SCHEDULE			
SR. NO.	**CATEGORY**	**TARIFF (Rs. Per MT or Part thereof)**	**TARIFF (Rs. Per Quintal or Part thereof)**
1	Legal Deocuement Charge	NIL	
2	Power of Attorney	N.A.	
3	A/c. Op. of Individual & HUF AMC	400/- Yearly	
4	A/c. Op. of Corporate AMC	1200/- Yearly	
5	Transaction on Market	Rs. 20/- per MT or Negotiable	Rs. 2/- per Quintal or Negotiable
6	Transaction Off Market	Rs. 100/- per MT or Negotiable	Rs. 10/- per Quintal or Negotiable
7	Transaction On Purchase	Nil	
8	Pledge Creation	Rs. 50/- per PSN. or Negotiale	
9	Pledge confirmation	NIL	
10	De-pledge	Rs. 20/- per MT or Negotiable	Rs. 2/- per Quintal or Negotiable
11	Invocation	NIL	
12	KRA Charges	Rs. 40/- Per PAN	
13	Deposit	Rs. 20/- Per MT or Negotiable	Rs. 2/- per Quintal or Negotiable
14	Withdrawal	Rs. 20/- per MT or Negotiable	Rs. 2/- per Quintal or Negotiable
15	Custody	Rs. 10/- per MT/month of Negotiable	Rs. 1/- per Quintal/month or Negotiable
16	Extension of validity	Rs. 20/-per MT/month of Negotiable	Rs. 2/- per Quintal/month or Negotiable
17	Conversion	Rs. 20/- per MT/month or Negotiable	Rs. 2/- per Quintal/month or Negotiable
18	Book Charges	NIL	
19	Fall Instruction Charges	Rs. 20/-	
20	Special Scheme - 1. Deposit Rs. 3000/- (without GST) Refundable at the time of closing of A/c) for Life Time AMC for individual Only. 2. Deposit Rs. 1500/- with GST (Non Refundable) for Life Time AMC for Individual Only.		

FORM No.*		REQUEST DATE*								

(To be filled by the applicant in **BLOCK LETTERS** in English)
We request you to open a commodity account in my/our name as per following details:-

1 ☑ _____

Date: _____
(dd / mm/ yy)

N

Know Your Client (KYC)
Application Form (For Non-Individuals Only)

INDIRA Gateway to Prosperi

Application No.:

Please fill in ENGLISH and in BLOCK LETTERS with Black Ink

A. Identity Details (Please See Uidelines overleaf)

1. Name of Applicant (please write complte name as per Certificate of incorporation / Registration, leaving one box blank between 2 words, please do not abbreieviate

2. Date of Incorporation d d / m m / y y y y

Place of Incorporation

3. Date of commencement of the business

4. A. Permanent Account Number (PAN) MANDATORY

Please enclose a duly attested copy of your PAN Card

B. Registration No. (e.g. CIN)

1. ☑ _____

PHOTOGRAPH

Please affix the recent passport Wsize photograph and sign across it

5. Status Please tick (✓) ☐ Private Ltd. Co. ☐ Public Ltd. Co. ☐ Body Corporate ☐ Partnership ☐ Trust / Charities / NGOs

☐ FI ☐ FII ☐ HUF ☐ AOP ☐ BANK ☐ Government Body ☐ Non-Government Organisation

☐ Defence Establishment ☐ Body of Individuals ☐ Society ☐ LLP ☐ Others _____

B. Address Details (Please see guidlines overleaf)

1. Residence Address

City/Town/Village Pincode

State Country

2. Contact Details

Tel. (Off.) Tel. (Res.)

Mobile Fax

E-mail ID

3. Specify the proof of address submitted for correspondence address (Please submit ANY ONE of the following valid documents & tick (✓) against the document attached.)

☐ Passport ☐ Ration Card ☐ Registered Lease/Sale Agreement of Residence ☐ Driving License ☐ Voter Identity Card ☐ Latest Bank A/c Statement/Passbook

☐ *Latest Telephone Bill (only Land Line) ☐ *Latest Electricity Bill ☐ *Latest Gas Bill ☐ Others _____

*Not more than 3 Months old. Validity/Expire date of proof of address submitted d d / m m / y y y y

4. Permanent Address (if different from above)

City/Town/Village Pincode

State Country

5. Proof of address submitted for registered address (please submit ANY ONE of the following valid documents & tick (✓) against the document attached.)

☐ *Latest Telephone Bill (only Land Line) ☐ *Latest Electricity Bill ☐ *Latest Bank A/c Statement ☐ Registered Lease / Sale Agreement of Office Premises

☐ Any other proof of address document (as listed overleaf) (please specify) _____

*Not more than 3 Months old. **Validity/Expire date of proof of address submitted** d d / m m / y y y y

DECLARATION

I hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.

Place : _____

Date d d / m m / y y y y

Name & Signature (s) of Authorised Signatory (s)

2. ☑ _____

FOR OFFICE USE ONLY

☐ Originals Verified Self Certified Document copies received

☐ Self attested true copies of documents received

(Name & Signature of the Auth. Signatory)

IPV Done ☐ on Date d d / m m / y y y y

Seal/Stamp of the intermediary

INDIRA
Gateway to Prosperity

INSTRUCTIONS/CHECK LIST FOR FILLING KYC FORM

A. IMPORTANT POINTS:

1. Self attested copy of PAN card is mandatory for all clients, including Promoters/ Partners/Karta/Trustees and whole time directors and persons authorized to deal in securities on behalf of company/firm/others.
2. Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
3. If any proof of identity or address is in a foreign language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent address are different, then proofs for both have to be submitted.
6. Sole proprietor must make the application in his individual name & capacity.
7. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIO Card/OCI Card and overseas address proof is mandatory.
8. For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
10. For opening an account with Depository participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
11. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/ military officers, senior executives of state owned corporations, important political party officials, etc.

B. Proof of Identity (POI):- List of documents admissible as Proof of Identity:

1. Unique Identification Number (UID) (Aadhaar)/ Passport/ Voter ID card/ Driving license.
2. PAN card with photograph.
3. Identity card/ document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

C. Proof of Address (POA):- List of documents admissible as Proof of Address:
(*Documents having an expiry date should be valid on the date of submission.)

1. Passport/ Voters Identity Card/ Ration Card/ Registered Lease or Sale Agreement of Residence/ Driving License/ Flat Maintenance bill/ Insurance Copy.
2. Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill - Not more than 3 months old.
3. Bank Account Statement/Passbook -- Not more than 3 months old.
4. Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
5. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks/Scheduled Co-Operative Bank/Multinational Foreign Banks/ Gazetted Officer/Notary public/Elected representatives to the Legislative Assembly/ Parliament/Documents issued by any Govt. or Statutory Authority.
6. Identity card/document with address, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.
7. For FII/sub account, Power of Attorney given by FII/sub-account to the Custodians (which are duly notarized and/or apostiled or consularised) that gives the registered address should be taken.
8. The proof of address in the name of the spouse may be accepted.

D. Exemptions/clarifications to PAN
(*Sufficient documentary evidence in support of such claims to be collected.)

1. In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
2. Investors residing in the state of Sikkim.
3. UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India.
4. SIP of Mutual Funds upto Rs 50, 000/- p.a.
5. In case of institutional clients, namely, FIIs, MFs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

E. List of people authorized to attest the documents:

1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/ Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
2. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy / Consulate General in the country where the client resides are permitted to attest the documents.

In case of Non-Individuals, additional documents to be obtained from non-individuals, over & above the POI & POA, as mentioned below:

Types of entity	Documentary requirements
Corporate	• Copy of the balance sheets for the last 2 financial years (to be submitted every year). • Copy of latest share holding pattern including list of all those holding control, either directly or indirectly, in the company in terms of SEBI takeover Regulations, duly certified by the company secretary/Whole time director/MD (to be submitted every year). • Photograph, POI, POA, PAN and DIN numbers of whole time directors/two directors in charge of day to day operations. • Photograph, POI, POA, PAN of individual promoters holding control - either directly or indirectly. Copies of the Memorandum and Articles of Association and certificate of incorporation. • Copy of the Board Resolution for investment in securities market. • Authorised signatories list with specimen signatures.
Partnership firm	• Copy of the balance sheets for the last 2 financial years (to be submitted every year). • Certificate of registration (for registered partnership firms only). • Copy of partnership deed. • Authorised signatories list with specimen signatures. • Photograph, POI, POA, PAN of Partners.
Trust	• Copy of the balance sheets for the last 2 financial years (to be submitted every year). • Certificate of registration (for registered trust only). • Copy of Trust deed. • List of trustees certified by managing trustees/CA. • Photograph, POI, POA, PAN of Trustees.
HUF	• PAN of HUF. • Deed of declaration of HUF/ List of coparceners. • Bank pass-book/bank statement in the name of HUF. • Photograph, POI, POA, PAN of Karta.
Unincorporated association or a body of individuals	• Proof of Existence/Constitution document. • Resolution of the managing body & Power of Attorney granted to transact business on its behalf. • Authorized signatories list with specimen signatures.
Banks / Institutional Investors	• Copy of the constitution/registration or annual report/balance sheet for the last 2 financial years. • Authorized signatories list with specimen signatures.
Foreign Institutional Investors (FII)	• Copy of SEBI registration certificate. • Authorized signatories list with specimen signatures.
Army/ Government Bodies	• Self-certification on letterhead. • Authorized signatories list with specimen signatures.
Registered Society	• Copy of Registration Certificate under Societies Registration Act. • List of Managing Committee members. Committee resolution for persons authorised to act as authorised signatories with specimen signatures. • True copy of Society Rules and Bye Laws certified by the Chairman/Secretary.

Details of Promoters / Partners / Karta / Trustees and whole time directors forming a part of know your client (KYC) Application Form for Non-Individuals

Name of Applicant _____ PAN of the Applicant ☐☐☐☐☐☐☐☐☐☐

Sr. No.	PAN	NAME	DN (For Directors) / UID (for Others)	Residential / Registered Address	Relationship with Applicant (i.e. promoters. whole time directors etc)	Whether Politically Exposed	Photograph
						☐ PEP ☐ RPEP ☐ NO	
						☐ PEP ☐ RPEP ☐ NO	
						☐ PEP ☐ RPEP ☐ NO	
						☐ PEP ☐ RPEP ☐ No	
						☐ PEP ☐ RPEP ☐ NO	

3. ☑_____

Name & Signature of the Authorised Signatory(ies) Date d d / m m / y y y y

PEP: Politically Exposed Person **RPEP:** Related to Politically Exposed Person

CKYC & KRA KYC Form

Know Your Client Application Form (For Individuals only)
(Please fill the form in English and in BLOCK Letters)
Fields marked with '*' are mandatory fields

Application Type* ☐ New ☐ Update KYC Number*

KYC Type* ☐ Normal (PAN is mandatory) ☐ PAN Exempt Investors (Refer instruction K)

1. Identity Details (Please refer instruction **A** at the end)

PAN

Please enclose a duly attested copy of your PAN Card

	Prefix	First Name	Middle Name	Last Name
Name* (same as ID proof)				
Maiden Name (If any*)				
Father / Spouse Name*				
Mother Name*				

Date of Birth* D D – M M – Y Y Y Y

Photo

Gender* ☐ M- Male ☐ F- Female ☐ T-Transgender

Marital Status* ☐ Married ☐ Unmarried ☐ Others

Citizenship* ☐ IN- Indian ☐ Others – Country _____ Country Code

Residential Status* ☐ Resident Individual ☐ Non Resident Indian ☐ Foreign National ☐ Person of Indian Origin

Occupation Type* ☐ S-Service ☐ Private Sector ☐ Public Sector ☐ Government Sector ☐ O-Others ☐ Professional ☐ Self Employed ☐ Retired ☐ Housewife ☐ Student ☐ B-Business ☐ X-Not Categorised

Signature/ Thumb Impression

2 ☑ _____

2. Proof of Identity (PoI)* (for PAN exempt Investor or if PAN card copy not provided) (Please refer instruction C & K at the end)

(Certified copy of any one of the following Proof of Identity [PoI] needs to be submitted)

☐ A- Passport Number — Passport Expiry Date D D – M M – Y Y Y Y

☐ B- Voter ID Card

☐ D- Driving Licence — Driving Licence Expiry Date D D – M M – Y Y Y Y

☐ E- Aadhaar Card

☐ F- NREGA Job Card

☐ Z- Others (any document notified by the central government) Identification Number

3. Proof of Address (PoA)*

☐ 3.1 Current / Permanent / Overseas Address Details (Please see instruction D at the end)

Address

Line 1*

Line 2

Line 3 City / Town / Village*

District* Zip / Post Code* State/UT Code as per Indian Motor Vehicle Act, 1988

State/UT* Country* Country Code as per ISO 3166

Address Type* ☐ Residential / Business ☐ Residential ☐ Business ☐ Registered Office ☐ Unspecified

(Certified copy of any one of the following Proof of Address [PoA] needs to be submitted)

Proof of Address*

☐ Passport Number — Passport Expiry Date D D – M M – Y Y Y Y

☐ Voter ID Card

☐ Driving Licence — Driving Licence Expiry Date D D – M M – Y Y Y Y

☐ Aadhaar Card

☐ NREGA Job Card

☐ Others (any document notified by the central government) Identification Number

☐ 3.2 Correspondence / Local Address Details* (Please see instruction E at the end) ☑ Tick if same as current address

Same as Current / Permanent / Overseas Address details (In case of multiple correspondence / local addresses, please fill 'Annexure A1', Submit relevant documentary proof)

Line 1*

Line 2

Line 3 City / Town / Village*

District* Zip / Post Code* State/UT Code as per Indian Motor Vehicle Act, 1988

State/UT* Country* Country Code as per ISO 3166

NERL

INDIRA Gateway to Prosperity

4. Contact Details (All communications will be sent on provided Mobile no. / Email-ID) (Please refer instruction **F** at the end)

Mobile – Tel. (Off) – Tel. (Res) –

Email ID

5. Specify the proof of address submitted for permanent address Please Tick (✓)

☐ Passport ☐ Ration Card ☐ Registratered Lease / Sale Agreement of Residence

☐ Driving License ☐ Voter Identity Card

☐ Latest Bank A/c. Statement / Passbook ☐ *Latest Telephone Bill (only Land Line)

☐ *Latest Electricity Bill ☐ *Latest Gas Bill ☐ Others

***Not more than 3 Months old. Validity / Expire date of proof of address submitted**

d d / m m / y y y y

6. Details of Related Person (Optional) (please refer instruction G at the end) (in case of additional related persons, please fill 'Annexure B1')

☐ Related Person ☐ Deletion of Related Person KYC Number of Related Person (if available*)

Related Person Type* ☐ Guardian of Minor ☐ Assignee ☐ Authorized Representative

Name* Prefix First Name Middle Name Last Name

(If KYC number and name are provided, below details of section 6 are optional)

☐ Proof of Identity [PoI] of Related Person* (Please see instruction (**H**) at the end)

(Certified copy of any one of the following Proof of Identity[PoI] needs to be submitted)

☐ A- Passport Number Passport Expiry Date d d – m m – y y y y

☐ B- Voter ID Card

☐ C- PAN Card

☐ D- Driving Licence Driving Licence Expiry Date d d – m m – y y y y

☐ E- Aadhaar Card

☐ F- NREGA Job Card

☐ Z- Others (any document notified by the central government) Identification Number

7. Remarks (If any)

8. Applicant Declaration

- I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it. I hereby declare that I am not making this application for the purpose of contravention of any Act, Rules, Regulations or any statute of legislation or any notifications/directions issued by any governmental or statutory authority from time to time.
- I hereby consent to receiving information from Central KYC Registry through SMS/Email on the above registered number/email address.

Date: d d – m m – y y y y Place:

[Signature / Thumb Impression]

3 ☑ _____

Signature / Thumb Impression of Applicant

9. Attestation / For Office Use Only

Documents Received ☐ Certified Copies

1100017000

KYC Verification Carried Out by *(Refer Instruction I)*

Date d d – m m – y y y y

Emp. Name

Emp. Code

Emp. Designation

[Employee Signature]

In-Person Verification (IPV) Carried Out by *(Refer Instruction J)*

Date d d – m m – y y y y

Emp. Name

Emp. Code

Emp. Designation

[Employee Signature]

Institution Details

Name INDIRA SECURITIESPRIVATE LIMITED

Code I N 1 8 7 4

Emp. Branch

Indira Securities Private Limited Indore (M.P.)

INSTRUCTIONS / CHECK LIST FOR FILLING KYC FORM

A. IMPORTANT POINTS:

1. Self attested copy of AADHAR cards is mandatory for all individual clients.
2. Copies of all the documents submitted by the applicant should be self –attested and accompanied by originals for verifications. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
3. If any proof of identity or address is in a foreign language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent address are different, then proofs for both have to be submitted.
6. Sole proprietor must make the application in his individual name & capacity.
7. Non –resident and foreign nationals, (Not allowed to open Repository account as per WDRA guideline.)
8. In case of Merchant Navy NRI'S Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
9. Minor, (Not allowed to open Repository account as per WDRA guideline.)
10. Politically Exposed Person (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g. Heads of States or of Governments, Seniors politicians, Senior Government/Judicial/Military officers, senior executives of the state owned corporations, important political party officials etc.

B. PROOF OF IDENTITY (POI): List of documents admissible as proof of identity:

1. PAN card with photograph .This is a mandatory requirement for all applicants except those who are specifically exempt from obtaining PAN (listed in Section D).
2. Unique identification Number (UID) (Aadhar)/Passport/Voter ID card/Driving license.
3. Identity card/documents with applicant's photo, issued by any of the following: Central/State Government and its department , Statutory /Regulatory Authorities, Public sector undertakings, Scheduled commercial bank, Public Financial institutions, College affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, bar council etc .., to their members and credit cards/Debits cards issued by Banks.

C. PROOF OF ADDRESS (POA): List of documents admissible as proof of address: (*Documents having an expiry date should be valid on the date of submission.)

1. Passport/Voters Identity Card/Ration Card /registered lease or sale agreement of residence /Driving License/Flat maintenance bill/Insurance copy.
2. Utility bills like Telephone Bill (Only land line), Electricity bill or Gas Bill –Not more than 3 months old.
3. Bank Account Statement /passbook –Not more than 3 months old.
4. Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
5. Proof of address issued by any of the following Bank managers of Scheduled Commercial Banks/Scheduled Co operative Bank/Multinational Banks/Gazetted Office/Notary public/Elected representative to the Legislative assembly/Parliament/Documents issued by Govt. or Statutory Authority.
6. Identity Card/documents with address, issued by any of the following: Central/State government and its departments, Statutory /Regulatory Authorities Public sector undertakings, Scheduled Commercial Banks, Public Financial Institutions, and Colleges affiliated to universities and Professional Bodies such as ICAI, ICWAI, ICSI Bar council etc. to their members.
7. The proof of address in the name of the Spouse may be accepted.

D. EXEMPTIONS/CLARIFICATIONS TO PAN

(*Sufficient documentary evidence in support of such claims to be collected.)

1. In case of transactions undertaken on behalf of central Government and/or State Government by Officials appointed by Courts e.g. Official liquidator, Court receiver etc.
2. Investors residing in the state of Sikkim.
3. UN entities /multilateral agencies exempt from paying taxes/filling tax returns in India.
4. SIP of Mutual Funds up to Rs 50,000/-p.a.
5. In case of institutional clients, namely, FIIs, MFs, VCFs, FVCIs, Scheduled commercial Banks, Multilateral and Bilateral development financial institutions, state industrial development corporations, insurance companies registered with IRDA and public Financial Institutions as defined under section 4A of the companied Act, 1956. Custodians shall verify the pan card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

E. LIST OF PEOPLE AUTHORIZED TO ATTEST THE DOCUMENTS:

1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial /Co –operative Bank or Multinational Banks (Name, Designation & Seal Should be fixed on the copy).

CKYC & KRA KYC Form

Know Your Client
Application Form (For Individuals only)
(Please fill the form in English and in BLOCK Letters)
Fields marked with '*' are mandatory fields

Application Type* ☐ New ☐ Update KYC Number*
KYC Type* ☐ Normal (PAN is mandatory) ☐ PAN Exempt Investors (Refer instruction K)

1. Identity Details (Please refer instruction **A** at the end)

PAN
Please enclose a duly attested copy of your PAN Card

	Prefix	First Name	Middle Name	Last Name
Name* (same as ID proof)				
Maiden Name (If any*)				
Father / Spouse Name*				
Mother Name*				

Date of Birth* D D – M M – Y Y Y Y

Photo

Gender* ☐ M- Male ☐ F- Female ☐ T-Transgender

Marital Status* ☐ Married ☐ Unmarried ☐ Others

Citizenship* ☐ IN- Indian ☐ Others – Country _____ Country Code

Residential Status* ☐ Resident Individual ☐ Non Resident Indian ☐ Foreign National ☐ Person of Indian Origin

Occupation Type* ☐ S-Service ☐ Private Sector ☐ Public Sector ☐ Government Sector ☐ O-Others ☐ Professional ☐ Self Employed ☐ Retired ☐ Housewife ☐ Student ☐ B-Business ☐ X-Not Categorised

Signature/ Thumb Impression
2 ☑ _____

2. Proof of Identity (PoI)* (for PAN exempt Investor or if PAN card copy not provided) (Please refer instruction C & K at the end)

(Certified copy of any one of the following Proof of Identity [PoI] needs to be submitted)

☐ A- Passport Number — Passport Expiry Date D D – M M – Y Y Y Y
☐ B- Voter ID Card
☐ D- Driving Licence — Driving Licence Expiry Date D D – M M – Y Y Y Y
☐ E- Aadhaar Card
☐ F- NREGA Job Card
☐ Z- Others (any document notified by the central government) Identification Number

3. Proof of Address (PoA)*

☐ 3.1 Current / Permanent / Overseas Address Details (Please see instruction D at the end)

Address

Line 1*
Line 2
Line 3 City / Town / Village*
District* Zip / Post Code* State/UT Code as per Indian Motor Vehicle Act, 1988
State/UT* Country* Country Code as per ISO 3166

Address Type* ☐ Residential / Business ☐ Residential ☐ Business ☐ Registered Office ☐ Unspecified

(Certified copy of any one of the following Proof of Address [PoA] needs to be submitted)

Proof of Address*
☐ Passport Number — Passport Expiry Date D D – M M – Y Y Y Y
☐ Voter ID Card
☐ Driving Licence — Driving Licence Expiry Date D D – M M – Y Y Y Y
☐ Aadhaar Card
☐ NREGA Job Card
☐ Others (any document notified by the central government) Identification Number

☐ 3.2 Correspondence / Local Address Details* (Please see instruction E at the end) ☑ Tick if same as current address

Same as Current / Permanent / Overseas Address details (In case of multiple correspondence / local addresses, please fill 'Annexure A1', Submit relevant documentary proof)

Line 1*
Line 2
Line 3 City / Town / Village*
District* Zip / Post Code* State/UT Code as per Indian Motor Vehicle Act, 1988
State/UT* Country* Country Code as per ISO 3166

NERL

INDIRA

4. Contact Details (All communications will be sent on provided Mobile no. / Email-ID) (Please refer instruction **F** at the end)

Mobile ☐☐–☐☐☐☐☐☐☐☐ Tel. (Off) ☐☐☐–☐☐☐☐☐☐☐ Tel. (Res) ☐☐☐☐–☐☐☐☐☐☐☐☐

Email ID

5. Specify the proof of address submitted for permanent address Please Tick (✓)

☐ Passport ☐ Ration Card ☐ Registratered Lease / Sale Agreement of Residence

☐ Driving License ☐ Voter Identity Card

☐ Latest Bank A/c. Statement / Passbook ☐ *Latest Telephone Bill (only Land Line)

☐ *Latest Electricity Bill ☐ *Latest Gas Bill ☐ Others

***Not more than 3 Months old. Validity / Expire date of proof of address submitted**

d d / m m / y y y y

6. Details of Related Person (Optional) (please refer instruction G at the end) (in case of additional related persons, please fill 'Annexure B1')

☐ Related Person ☐ Deletion of Related Person KYC Number of Related Person (if available*)

Related Person Type* ☐ Guardian of Minor ☐ Assignee ☐ Authorized Representative

Name* Prefix First Name Middle Name Last Name

(If KYC number and name are provided, below details of section 6 are optional)

☐ Proof of Identity [PoI] of Related Person* (Please see instruction (**H**) at the end)

(Certified copy of any one of the following Proof of Identity[PoI] needs to be submitted)

☐ A- Passport Number Passport Expiry Date d d – m m – y y y y

☐ B- Voter ID Card

☐ C- PAN Card

☐ D- Driving Licence Driving Licence Expiry Date d d – m m – y y y y

☐ E- Aadhaar Card

☐ F- NREGA Job Card

☐ Z- Others (any document notified by the central government) Identification Number

7. Remarks (If any)

8. Applicant Declaration

- I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it. I hereby declare that I am not making this application for the purpose of contravention of any Act, Rules, Regulations or any statute of legislation or any notifications/directions issued by any governmental or statutory authority from time to time.
- I hereby consent to receiving information from Central KYC Registry through SMS/Email on the above registered number/email address.

Date: d d – m m – y y y y Place :

[Signature / Thumb Impression]

3 ☑ _____

Signature / Thumb Impression of Applicant

9. Attestation / For Office Use Only

Documents Received ☐ Certified Copies

1100017000

KYC Verification Carried Out by *(Refer Instruction I)*

Date d d – m m – y y y y

Emp. Name

Emp. Code

Emp. Designation

[Employee Signature]

In-Person Verification (IPV) Carried Out by *(Refer Instruction J)*

Date d d – m m – y y y y

Emp. Name

Emp. Code

Emp. Designation

[Employee Signature]

Institution Details

Name INDIRA SECURITIESPRIVATE LIMITED

Code I N 1 8 7 4

Emp. Branch

Indira Securities Private Limited Indore (M.P.)

INSTRUCTIONS / CHECK LIST FOR FILLING KYC FORM

A. IMPORTANT POINTS:

1. Self attested copy of AADHAR cards is mandatory for all individual clients.
2. Copies of all the documents submitted by the applicant should be self –attested and accompanied by originals for verifications. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
3. If any proof of identity or address is in a foreign language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent address are different, then proofs for both have to be submitted.
6. Sole proprietor must make the application in his individual name & capacity.
7. Non –resident and foreign nationals, (Not allowed to open Repository account as per WDRA guideline.)
8. In case of Merchant Navy NRI'S Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
9. Minor, (Not allowed to open Repository account as per WDRA guideline.)
10. Politically Exposed Person (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g. Heads of States or of Governments, Seniors politicians, Senior Government/Judicial/Military officers, senior executives of the state owned corporations, important political party officials etc.

B. PROOF OF IDENTITY (POI): List of documents admissible as proof of identity:

1. PAN card with photograph .This is a mandatory requirement for all applicants except those who are specifically exempt from obtaining PAN (listed in Section D).
2. Unique identification Number (UID) (Aadhar)/Passport/Voter ID card/Driving license.
3. Identity card/documents with applicant's photo, issued by any of the following: Central/State Government and its department , Statutory /Regulatory Authorities, Public sector undertakings, Scheduled commercial bank, Public Financial institutions, College affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, bar council etc .., to their members and credit cards/Debits cards issued by Banks.

C. PROOF OF ADDRESS (POA): List of documents admissible as proof of address: (*Documents having an expiry date should be valid on the date of submission.)

1. Passport/Voters Identity Card/Ration Card /registered lease or sale agreement of residence /Driving License/Flat maintenance bill/Insurance copy.
2. Utility bills like Telephone Bill (Only land line), Electricity bill or Gas Bill –Not more than 3 months old.
3. Bank Account Statement /passbook –Not more than 3 months old.
4. Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
5. Proof of address issued by any of the following Bank managers of Scheduled Commercial Banks/Scheduled Co operative Bank/Multinational Banks/Gazetted Office/Notary public/Elected representative to the Legislative assembly/Parliament/Documents issued by Govt. or Statutory Authority.
6. Identity Card/documents with address, issued by any of the following: Central/State government and its departments, Statutory /Regulatory Authorities Public sector undertakings, Scheduled Commercial Banks, Public Financial Institutions, and Colleges affiliated to universities and Professional Bodies such as ICAI, ICWAI, ICSI Bar council etc. to their members.
7. The proof of address in the name of the Spouse may be accepted.

D. EXEMPTIONS/CLARIFICATIONS TO PAN

(*Sufficient documentary evidence in support of such claims to be collected.)

1. In case of transactions undertaken on behalf of central Government and/or State Government by Officials appointed by Courts e.g. Official liquidator, Court receiver etc.
2. Investors residing in the state of Sikkim.
3. UN entities /multilateral agencies exempt from paying taxes/filling tax returns in India.
4. SIP of Mutual Funds up to Rs 50,000/-p.a.
5. In case of institutional clients, namely, FIIs, MFs, VCFs, FVCIs, Scheduled commercial Banks, Multilateral and Bilateral development financial institutions, state industrial development corporations, insurance companies registered with IRDA and public Financial Institutions as defined under section 4A of the companied Act, 1956. Custodians shall verify the pan card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

E. LIST OF PEOPLE AUTHORIZED TO ATTEST THE DOCUMENTS:

1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial /Co –operative Bank or Multinational Banks (Name, Designation & Seal Should be fixed on the copy).

NERL

INDIRA Gateway to Prosperity

KNOW YOUR CLIENT (KYC) & APPLICATION FOR OPENING AN ACCOUNT (For entities other than Individuals)

FOR NERL CLIENTS (Please fill in Block Letters Only)

Application No:
(To be filled by RP)

A. Identity & Address Details

We request you to open an Account in my/our name as per the details mentioned below:

Date

Client ID

Account Category: (Please tick correct category as below)

PARTNERSHIP FIRM		TRUST		BODY CORPORATE		SOLE PROPRIETOR	
PRIVATE LTD. CO.		LLP		PUBLIC LTD. CO.		OTHERS	
OTHERS (Mention Account Category)							

We request you to open an Account in my/our name as per the details.

Tel No.	
PAN No.	
Mobile No.*	

Aadhar No.	
TAN No.	

E-mail*

*OTP & Transaction Alerts will be received on the Mobile No. & Email id mentioned above

Authorized Signatory I / Partner Details / Sole Proprietor

First Name
Middle Name
Last Name

Permanent Address
Pin

City
State

Tel No.	
PAN No.	
Mobile No.*	

Aadhar No.	
D.O.B.	

Authorized Signatory II / Partner Details

First Name
Middle Name
Last Name

Permanent Address
Pin

City	
State	

Tel No.	
PAN No.	
Mobile No.*	

Aadhar No.	
D.O.B.	

E-mail*	

Authorized Signatory III / Partner Details

First Name	
Middle Name	
Last Name	

Permanent Address	
	Pin

City	
State	

Tel No.	
PAN No.	
Mobile No.*	

Aadhar No.	
D.O.B.	

E-mail*	

B. Other Details

< 1 Lac ☐ 1-5 Lac ☐ 5-10 Lac ☐ 10-25 Lac ☐ < 25 Lac ☐

Net-worth as on _____ Date _____

(Net worth should not be older than 1 year)

Please tick, as applicable for any of your Authorized Signatories/Promoters/Partners /Trustee/Whole Time Directors:

Politically Exposed Person (PEP) ☐ Related to a Politically Exposed Person (PEP) ☐

Not a Politically Exposed Person (PEP) ☐ Not Related to a Politically Exposed Person (PEP) ☐

C. Mode of Operation

Mode of Operation	Yes / No	Signatures
Any One Singly		
Jointly By		
As per resolution		
Others (please specify)		

A. BANK ACCOUNT(S) DETAILS

Bank Name																															
Branch Address																															
											CITY													PIN						
Bank Account No.																															
Type:		Saving		Current		Overdraft																									
MICR Number															IFSC Code																

Note: Provide a copy of cancelled Cheque leaf/ pass book/bank statement specifying name of the client, MICR Code or/and IFSC Code of the bank.

E. Past Regulatory Actions

Details of any action/proceedings initiated/pending/ taken by FMC/ SEBI / Stock Exchange / Commodity exchange / WDRA / any other authority against the client during the last 3 years	

DECLARATION

1. I/We declare that the sticulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I/ We further agree that any false / misleading information given by me/us or suppression of any material information will render my/our account liable for termination and suitable action.
2. I/We further confirm having read and understood the contents of the Rights and Obligations of Client and Repository Participant including the schedules thereto and the terms & conditions and agree to abide by and be bound by the same and by the Bye Laws & Business Rules of NERL as are in force from time to time.

	Authorized Signatory I	Authorized Signatory II	Authorized Signatory III
Name			
Designation			
Signature (As per the PAN Card / Aadhar card)			
Passport Size Photograph (Signature across photograph is required)			

NERL

INDIRA Gateway to Prosperity

ANNEXURE 1 (Format for Additional Authorized signatory details)

Sr. No.	Name	Address	Contact No.	Email Id	PAN No.	Aadhar No.	Signature	Photo
1								
2								
3								

IN PERSON VERIFICATION (FOR OFFICE USE ONLY)

Application No.		Client ID	

Documents verified with Originals: YES NO

I / We undertake that we have made the client aware of tariff sheet. I/We have also made the client aware & given/ sent him a copy of Rights and Obligations of Client and Repository Participant including the schedules thereto. I/We undertake that any change in the tariff sheet would be duly intimated to the clients.

Employee Name		Designation	
Employee Code		Signature	

Date

For: Indira Securities Pvt. Ltd
Signature of the Authorised Signatory

(Please Tear Here)

Acknowledgement Receipt

Application No.		Client ID	

We hereby acknowledge the receipt of KYC & Account opening Application.

Name of the Client	

Employee Name		Designation	
Employee Code		Signature	

Date

For: Indira Securities Pvt. Ltd
Signature of the Authorised Signatory

Rights and Obligations of Client and Repository Participant

Rights & Obligations of Client

1. The Client agrees to pay such charges as set out in **Schedule A** hereto, to the Repository Participant for the purpose of opening and maintaining his Repository Account, for carrying out the instructions of the Client and for rendering such other services as may be agreed to from time to time between the Repository Participant and the Client in respect of his/its Repository Account. The Repository Participant reserves the right to revise the charges anytime by giving not less than thirty days' notice in writing to the Client. In case the Client fails to make the payment of any of the amounts as aforesaid, within a period of thirty days from the date of demand or the immediate succeeding billing date whichever is earlier, the Repository Participant shall have the right to discontinue the services after giving two days' notice to the Client, till such time the Client makes the payment along with interest, if any. The Client further agrees that in the event of the Client committing such default in payment, the Repository Participant will -charge interest at the rate of not more than 24% p.a. for the period of such default without prejudice to its right to suspend operations in the Repository Account or close the Repository Account of the Client.

2. Upon opening of the Repository Account as requested, the Client shall have the right to get the credit of those of its commodities physically deposited by it with and received by the Warehouse, duly recorded and credited in electronic form in its Repository Account. Provided however that such credit in the electronic Repository Account shall be subject to acceptance of the commodities by the Warehouse for credit to the Repository Account in the manner and as may be specified by the Authority or Repository as valid deposit for storage and delivery. The Client further understands and agrees that the record of electronic balance of commodities is the net balance after debit and credit of electronic Warehouse Receipts issued by the Warehouseman and that it shall be entitled to hold such Warehouse receipts in electronic form only till the Validity Date as assigned by the assayer approved for the purpose or such other date as may be specified by the Authority or Repository and that the Client shall not be entitled to deal with such warehouse receipt except under circumstances and in the manner prescribed by the Authority.

3. The Client understands and agrees that the electronic credit in the Repository Account pursuant to deposit of the underlying physical commodity at the Warehouse concerned or as a consequence of transfer, is only a record of deposit and entitlement therefor and that the Repository Participant and Repository are mere facilitators of such Repository Account keeping while the liability in respect of the actual commodity/ies stored in the Warehouse is that of the Warehouseman or the client as the case may be. The Client further understands and agrees that once electronic credit of commodities is transferred from its Repository Account, the Client is not entitled to receive or deal with the corresponding commodities and that the right to receive the commodities and deal therein shall then rest with the respective transferee/s of the electronic credit except in cases of frauds/erroneous transfers subject to being reported and rectified immediately after detection.

4. The Client undertakes and agrees that during the period of validity of the Warehouse receipt, notwithstanding the liability of the Warehouseman under the WDR Act, 2007 and the Rules and Regulations issued thereunder, the client shall always be responsible for compliance of such laws as may be applicable to the commodities dealt by the client including but not limited to the compliance of Food Safety Standards and GST or such other tax laws as may be applicable to the commodity dealt with by the client and the regulations and prohibitions with respect to the stock limits as prescribed by the Central/State Government as may be applicable from time to time. The clients undertakes and agrees to indemnify and keep indemnified the Repository and Repository Participant against any consequential claims or damages that may arise due to non-compliance on part of the Client.

5. The Client agrees that the Repository or the Repository Participant shall be entitled to freeze or disable the transfer of electronic balances as reflected in the Repository Account of the Client completely or partially as may be deemed appropriate by the Repository Participant or the Repository for any reason or circumstances which in the opinion of Repository, the freezing of Repository Account is warranted. Such opinion of the Repository shall be final, conclusive and binding on the Client.

6. The Client also agrees and undertakes that it shall not hold the Repository Participant or the or the Repository responsible and/or liable for any deterioration or deviation in quality or in quantity of the actual commodity deposited in the Warehouse and will not have any claim for the same from or against the Repository Participant or the Repository.

7. The client agrees that transfer of balances to and from other Repositories may be delayed due to reasons beyond the control of Repository and the client does not hold the Repository responsible for the same.

8. The Client agrees to pay to the Repository Participant fees and charges with respect to the electronic holdings as reflected in its Repository Account such as warehouse charges, assaying charges and such other charges as may be notified by the Repository or the Warehouse at such rates as may be prescribed subject however, that any such charges recoverable against any bill, if remain unpaid by the Client for a period of more than 15 days from the date of receipt of the bill, the Repository Participant shall be entitled to suspend all transactions in the Repository account of the Client till the time of recovery of all outstanding charges with prescribed interest if any. The Client further authorizes Repository Participant to forward the charges so collected to the respective entity such as the Warehouse Service Provider, the Commodity Exchange, Repository Service Provider and any other entity involved in the process as the case may be, as per directives of the of the Repository.

9. On the failure of the Client to pay any of the accrued charges as agreed herein within the stipulated period, the Repository Participant shall

without prejudice to its right to legal remedies, be entitled to close the Repository Account of the Client by requiring it to specify whether the balances in its Repository Account be transferred to the Repository Account of the Client held with another Repository Participant or be processed for withdrawal in the manner prescribed.

10. The Client agrees that the Repository Participant may refuse to carry out any transaction in the event of any directive from the Repository restricting such transaction including seeking freezing of the Client's Repository Account, or in accordance with any procedure laid down by the Repository warranting such freezing at a given time or on any event.

11. The Client shall notify the Repository Participant within seven days from the date of any change in the details set out in the application form submitted to the Repository Participant at the time of opening the Repository Account or furnished to the Repository Participant from time to time.

12. The Client shall immediately within three days, inform the Repository participant about any change in its constitution involving change in control of management, and shall execute all such necessary documents as may be required by the Repository Participant in that regard.

13. The Client shall not have any claim against the Repository Participant or the Repository on account of any suspension, interruption, non-availability or malfunctioning of the of Repository System or Service or non-execution of his orders due to any link/system failure or for any reason beyond the control of the Repository Participant or the Repository.

14. The client shall keep the Repository and Repository Participant indemnified at all times with respect to any claims/losses/damages that may accrue /arise to the Repository and Repository Participant on account of malfeasance or misrepresentation or fraud

Rights & Obligations of Repository Participant

15. The Repository Participant undertakes that it shall not create or permit to subsist any mortgage, charge or other encumbrance over the electronic balance of the Client except on the instructions of the Client.

16. The Repository Participant shall maintain a separate Repository Account for holding its own commodities in electronic form.

17. The Repository Participant undertakes that a transfer to and from the Repository Accounts of the Client shall be made only on the basis of an order, instruction, direction or mandate duly authorized by the Client in the manner prescribed and/or in accordance with and subject to the Bye-laws and Business rules, notifications or directives of the Repository and that the Repository Participant shall maintain adequate audit trail of such transactions.

18. The Repository Participant undertakes to provide a transaction statement including statement of accounts, if any, to the Client at such intervals as may be agreed between the Client and the Repository Participant or as may be required by the Repository or the Exchange, as the case may be.

19. The Repository Participant shall have the right to terminate its services, for any reasons whatsoever, provided the Repository Participant has given a notice in writing of not less than thirty days to the Client as well as to the Repository. Similarly, the Client shall have the right to close his Repository Account held with the Repository Participant, provided no charges or dues are payable/remaining to be paid by him to the Repository Participant and provided that any encumbrance if subsisting, has been fully satisfied and discharged. In the event of closure of its Repository Account, the Client shall specify whether the balances in its Repository Account should be transferred to any other Repository Account of the Client held with another Repository Participant or to withdraw the commodity that is represented through the electronic balances in its Repository Account. Based on the instructions of the Client, the Repository Participant shall initiate the procedure for transferring such electronic balances or process for withdrawal of such electronic balances as per the procedure laid down in the Bye Laws, Rules or Regulations and guidelines of the Repository or as per the process prescribed by the Repository. Provided further, the termination of this agreement shall not affect the accrued rights, liabilities and obligations of either party and shall continue to bind the parties till their satisfactory completion.

20. The Repository Participant undertakes to resolve all legitimate grievances of the Client against the Repository Participant within a period of thirty days. In the event of non-resolution of the grievance within the time specified as aforesaid, the parties agree to submit the same for resolution by arbitration as provided in the Bye-laws and the Business Rules of the Repository as amended from time to time.

Signature*

NERL

CM - TM Trading A/c. - Client Repository Id Map

(To be filled in duplicate)

INDIRA Gateway to Prosperity

Application Number

Repository Participant Name
Address
PIN

R P I D	**Client ID**

Account Holder's Details:

Name of First/Sole Holder

Mapping Details: **(Please Tick)**

Exchange Code		**Pro Account**	**Client Account**

Clearing Member ID (CMID)	**Trading Member id (TM id)**
Client Repository A/c. No.	**Trading Client ID**

	Authorized Signatory I	Authorized Signatory II	Authorized Signatory III
Name			
Signature			

Internal ref. No.		**Signature Verified By**		**Transaction Entered By**	

Date

Indira Securities Private Limited Indore (M.P.)

For: Indira Securities Pvt. Ltd

Signature of the Authorised Signatory

(Please Tear Here)

Acknowledgement Receipt

Application No.

We hereby acknowledge the receipt your instruction for CM - TM Trading A/c. - Client Repository Id Map.

Name of the Client

Client Repository A/c. No.

Employee Name		Designation	
Employee Code		Signature	

Indira Securities Private Limited Indore (M.P.)

For: Indira Securities Pvt. Ltd

Signature of the Authorised Signatory

Date