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Membership No., SEBI Registration No. & Date : **CIN - U67120MH1996PTC160201**

Exchange	Membership No.	SEBI Registration No. & Date
NSE	12866	INZ000188930 / 01.08.2018
BSE	663	INZ000188930 / 01.08.2018
CDSL	17000	IN - DP - CDSL - 90 - 2015 / 03.07.2015
MCX	56470	INZ000188930 / 01.08.2018
NCDEX	01277	INZ000188930 / 01.08.2018

Clearing Member for Currency Derivatives: ICICI Bank Limited (M. No. 4). **GSTIN : 23AADCI2772F1ZW**

Registered office address: **"Indira House" 5 Topiwala Lane, Lamington Road, Grant Road (East), Mumbai - 400007**

Phone : **022-66224202-204** Fax : **022-66224200** Website: **www.indiratrade.com**

Correspondence office address : **204,205, Amardarshan Building, 28/2 Old Palasia, Indore - 452018 (M.P)**

Phone : **0731-4797170-71-72-73** Fax : **0731-2562117** Website: **www.indiratrade.com**

Compliance officer name, Phone No. & email id : **Jyoti Singh - 0731-4797151 compliance@indiratrade.com**

Director Name, Phone No. & email id : **Sanjay C. Baxi - 022-66224220 sanjaybaxi@yahoo.co.in**

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DP TARIFF SCHEDULE			
SCHEMES	**AMC Based**	On Sale Through POA	0.010% (Min Rs. 10)
Legal Documentation Charges	Nil	KRA Charges	Rs. 40/-Per Pan No.
Power of Attorney	Rs 130/-	Demat Charges	Rs. 5/- Per Certificate + Rs.35/- Courier Charge for per DRN.
Individual & HUF AMC	Rs 400/-	Remat Charges	Rs 50/- Per RRN
Corporate	Rs 1200/-	Pledge Creation	Rs 50/- Per PSN. or 0.02% Whichever is higher
Transaction	Rs 20/- or 0.020% or Negotiable	Pledge Closure	Rs 50/- Per Closure
On Purchase	NIL	Book Charges	Rs 20/-
Pledge creation & closure confirmation	NIL	Fail Instruction charges	Rs. 25/- Per Instruction
Special Scheme - Deposit Rs. 3000/- (Refundable at the time of closing of A/c.) for life time AMC.			

1. Fees Schedule are based on existing CDSL charges and may be changed at our discretion & will be informed before 30 days of implementation. 2. DRF would be accepted against up front payment. 3. Rejection of DRF will be charged at Rs. 35 of Registry only. 4. Service Tax and other levis would be extra as applicable.

Demat Schemes (AMC):	**Standard** ☐ **/ Other** ☐ **/ Rs. 3000** ☐ **/ Rs. 1500** ☐ **/ BSDA** ☐	
Account Opening Fee:	**Standard** ☐ **/ Other** ☐	
A/c Type:	**Direct** ☐ **/ Auth. Person** ☐	
RM/REM Code		**RM Name**

RP TARIFF SCHEDULE			
SR. NO.	**CATEGORY**	**TARIFF (Rs. Per MT or Part thereof)**	**TARIFF (Rs. Per Quintal or Part thereof)**
1	Legal Deocuement Charge	NIL	
2	Power of Attorney	N.A.	
3	A/c. Op. of Individual & HUF AMC	400/- Yearly	
4	A/c. Op. of Corporate AMC	1200/- Yearly	
5	Transaction on Market	Rs. 20/- per MT or Negotiable	Rs. 2/- per Quintal or Negotiable
6	Transaction Off Market	Rs. 100/- per MT or Negotiable	Rs. 10/- per Quintal or Negotiable
7	Transaction On Purchase	Nil	
8	Pledge Creation	Rs. 50/- per PSN. or Negotiale	
9	Pledge confirmation	NIL	
10	De-pledge	Rs. 20/- per MT or Negotiable	Rs. 2/- per Quintal or Negotiable
11	Invocation	NIL	
12	KRA Charges	Rs. 40/- Per PAN	
13	Deposit	Rs. 20/- Per MT or Negotiable	Rs. 2/- per Quintal or Negotiable
14	Withdrawal	Rs. 20/- per MT or Negotiable	Rs. 2/- per Quintal or Negotiable
15	Custody	Rs. 10/- per MT/month of Negotiable	Rs. 1/- per Quintal/month or Negotiable
16	Extension of validity	Rs. 20/-per MT/month of Negotiable	Rs. 2/- per Quintal/month or Negotiable
17	Conversion	Rs. 20/- per MT/month or Negotiable	Rs. 2/- per Quintal/month or Negotiable
18	Book Charges	NIL	
19	Fall Instruction Charges	Rs. 20/-	
20	Special Scheme - 1. Deposit Rs. 3000/- (without GST) Refundable at the time of closing of A/c) for Life Time AMC for individual Only. 2. Deposit Rs. 1500/- with GST (Non Refundable) for Life Time AMC for Individual Only.		

FORM No.*		REQUEST DATE*								

(To be filled by the applicant in **BLOCK LETTERS** in English)
We request you to open a commodity account in my/our name as per following details:-

1 ☑ _____ Date: _____

(dd / mm/ yy)

2 Non-Individual

N

Know Your Client (KYC)
Application Form (For Non-Individuals Only)

INDIRA Gateway to Prosperi

Application No.:

Please fill in ENGLISH and in BLOCK LETTERS with Black Ink

A. Identity Details (Please See Uidelines overleaf)

1. Name of Applicant (please write complte name as per Certificate of incorporation / Registration, leaving one box blank between 2 words, please do not abbreieviate

2. Date of Incorporation d d / m m / y y y y

Place of Incorporation

3. Date of commencement of the business

4. A. Permanent Account Number (PAN) MANDATORY

Please enclose a duly attested copy of your PAN Card

B. Registration No. (e.g. CIN)

1. ☑ _____

PHOTOGRAPH

Please affix the recent passport Wsize photograph and sign across it

5. Status Please tick (✓) ☐ Private Ltd. Co. ☐ Public Ltd. Co. ☐ Body Corporate ☐ Partnership ☐ Trust / Charities / NGOs

☐ FI ☐ FII ☐ HUF ☐ AOP ☐ BANK ☐ Government Body ☐ Non-Government Organisation

☐ Defence Establishment ☐ Body of Individuals ☐ Society ☐ LLP ☐ Others _____

B. Address Details (Please see guidlines overleaf)

1. Residence Address

City/Town/Village Pincode

State Country

2. Contact Details

Tel. (Off.) Tel. (Res.)

Mobile Fax

E-mail ID

3. Specify the proof of address submitted for correspondence address (Please submit ANY ONE of the following valid documents & tick (✓) against the document attached.)

☐ Passport ☐ Ration Card ☐ Registered Lease/Sale Agreement of Residence ☐ Driving License ☐ Voter Identity Card ☐ Latest Bank A/c Statement/Passbook

☐ *Latest Telephone Bill (only Land Line) ☐ *Latest Electricity Bill ☐ *Latest Gas Bill ☐ Others _____

*Not more than 3 Months old. Validity/Expire date of proof of address submitted d d / m m / y y y y

4. Permanent Address (if different from above)

City/Town/Village Pincode

State Country

5. Proof of address submitted for registered address (please submit ANY ONE of the following valid documents & tick (✓) against the document attached.)

☐ *Latest Telephone Bill (only Land Line) ☐ *Latest Electricity Bill ☐ *Latest Bank A/c Statement ☐ Registered Lease / Sale Agreement of Office Premises

☐ Any other proof of address document (as listed overleaf) (please specify) _____

*Not more than 3 Months old. **Validity/Expire date of proof of address submitted** d d / m m / y y y y

DECLARATION

I hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.

Place : _____

Date d d / m m / y y y y

Name & Signature (s) of Authorised Signatory (s)

2. ☑ _____

FOR OFFICE USE ONLY

☐ Originals Verified Self Certified Document copies received

☐ Self attested true copies of documents received

(Name & Signature of the Auth. Signatory)

IPV Done ☐ on Date d d / m m / y y y y

Seal/Stamp of the intermediary

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INSTRUCTIONS/CHECK LIST FOR FILLING KYC FORM

A. IMPORTANT POINTS:

1. Self attested copy of PAN card is mandatory for all clients, including Promoters/ Partners/Karta/Trustees and whole time directors and persons authorized to deal in securities on behalf of company/firm/others.
2. Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
3. If any proof of identity or address is in a foreign language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent address are different, then proofs for both have to be submitted.
6. Sole proprietor must make the application in his individual name & capacity.
7. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIO Card/OCI Card and overseas address proof is mandatory.
8. For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
10. For opening an account with Depository participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
11. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/ military officers, senior executives of state owned corporations, important political party officials, etc.

B. Proof of Identity (POI):- List of documents admissible as Proof of Identity:

1. Unique Identification Number (UID) (Aadhaar)/ Passport/ Voter ID card/ Driving license.
2. PAN card with photograph.
3. Identity card/ document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

C. Proof of Address (POA):- List of documents admissible as Proof of Address:
(*Documents having an expiry date should be valid on the date of submission.)

1. Passport/ Voters Identity Card/ Ration Card/ Registered Lease or Sale Agreement of Residence/ Driving License/ Flat Maintenance bill/ Insurance Copy.
2. Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill - Not more than 3 months old.
3. Bank Account Statement/Passbook -- Not more than 3 months old.
4. Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
5. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks/Scheduled Co-Operative Bank/Multinational Foreign Banks/ Gazetted Officer/Notary public/Elected representatives to the Legislative Assembly/ Parliament/Documents issued by any Govt. or Statutory Authority.
6. Identity card/document with address, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.
7. For FII/sub account, Power of Attorney given by FII/sub-account to the Custodians (which are duly notarized and/or apostiled or consularised) that gives the registered address should be taken.
8. The proof of address in the name of the spouse may be accepted.

D. Exemptions/clarifications to PAN
(*Sufficient documentary evidence in support of such claims to be collected.)

1. In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
2. Investors residing in the state of Sikkim.
3. UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India.
4. SIP of Mutual Funds upto Rs 50, 000/- p.a.
5. In case of institutional clients, namely, FIIs, MFs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

E. List of people authorized to attest the documents:

1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/ Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
2. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy / Consulate General in the country where the client resides are permitted to attest the documents.

In case of Non-Individuals, additional documents to be obtained from non-individuals, over & above the POI & POA, as mentioned below:

Types of entity	Documentary requirements
Corporate	• Copy of the balance sheets for the last 2 financial years (to be submitted every year). • Copy of latest share holding pattern including list of all those holding control, either directly or indirectly, in the company in terms of SEBI takeover Regulations, duly certified by the company secretary/Whole time director/MD (to be submitted every year). • Photograph, POI, POA, PAN and DIN numbers of whole time directors/two directors in charge of day to day operations. • Photograph, POI, POA, PAN of individual promoters holding control - either directly or indirectly. Copies of the Memorandum and Articles of Association and certificate of incorporation. • Copy of the Board Resolution for investment in securities market. • Authorised signatories list with specimen signatures.
Partnership firm	• Copy of the balance sheets for the last 2 financial years (to be submitted every year). • Certificate of registration (for registered partnership firms only). • Copy of partnership deed. • Authorised signatories list with specimen signatures. • Photograph, POI, POA, PAN of Partners.
Trust	• Copy of the balance sheets for the last 2 financial years (to be submitted every year). • Certificate of registration (for registered trust only). • Copy of Trust deed. • List of trustees certified by managing trustees/CA. • Photograph, POI, POA, PAN of Trustees.
HUF	• PAN of HUF. • Deed of declaration of HUF/ List of coparceners. • Bank pass-book/bank statement in the name of HUF. • Photograph, POI, POA, PAN of Karta.
Unincorporated association or a body of individuals	• Proof of Existence/Constitution document. • Resolution of the managing body & Power of Attorney granted to transact business on its behalf. • Authorized signatories list with specimen signatures.
Banks / Institutional Investors	• Copy of the constitution/registration or annual report/balance sheet for the last 2 financial years. • Authorized signatories list with specimen signatures.
Foreign Institutional Investors (FII)	• Copy of SEBI registration certificate. • Authorized signatories list with specimen signatures.
Army/ Government Bodies	• Self-certification on letterhead. • Authorized signatories list with specimen signatures.
Registered Society	• Copy of Registration Certificate under Societies Registration Act. • List of Managing Committee members. Committee resolution for persons authorised to act as authorised signatories with specimen signatures. • True copy of Society Rules and Bye Laws certified by the Chairman/Secretary.

Details of Promoters / Partners / Karta / Trustees and whole time directors forming a part of know your client (KYC) Application Form for Non-Individuals

Name of Applicant _____ PAN of the Applicant ☐☐☐☐☐☐☐☐☐☐

Sr. No.	PAN	NAME	DN (For Directors) / UID (for Others)	Residential / Registered Address	Relationship with Applicant (i.e. promoters. whole time directors etc)	Whether Politically Exposed	Photograph
						☐ PEP ☐ RPEP ☐ NO	
						☐ PEP ☐ RPEP ☐ NO	
						☐ PEP ☐ RPEP ☐ NO	
						☐ PEP ☐ RPEP ☐ No	
						☐ PEP ☐ RPEP ☐ NO	

3. ☑_____

Name & Signature of the Authorised Signatory(ies) Date d d / m m / y y y y

PEP: Politically Exposed Person **RPEP:** Related to Politically Exposed Person

CKYC & KRA KYC Form

Know Your Client Application Form (For Individuals only)
(Please fill the form in English and in BLOCK Letters)
Fields marked with '*' are mandatory fields

Application Type* ☐ New ☐ Update KYC Number*

KYC Type* ☐ Normal (PAN is mandatory) ☐ PAN Exempt Investors (Refer instruction K)

1. Identity Details (Please refer instruction **A** at the end)

PAN

Please enclose a duly attested copy of your PAN Card

	Prefix	First Name	Middle Name	Last Name
Name* (same as ID proof)				
Maiden Name (If any*)				
Father / Spouse Name*				
Mother Name*				

Date of Birth* D D – M M – Y Y Y Y

Photo

Gender* ☐ M- Male ☐ F- Female ☐ T-Transgender

Marital Status* ☐ Married ☐ Unmarried ☐ Others

Citizenship* ☐ IN- Indian ☐ Others – Country _____ Country Code

Residential Status* ☐ Resident Individual ☐ Non Resident Indian ☐ Foreign National ☐ Person of Indian Origin

Occupation Type* ☐ S-Service ☐ Private Sector ☐ Public Sector ☐ Government Sector ☐ O-Others ☐ Professional ☐ Self Employed ☐ Retired ☐ Housewife ☐ Student ☐ B-Business ☐ X-Not Categorised

Signature/ Thumb Impression

2 ☑ _____

2. Proof of Identity (PoI)* (for PAN exempt Investor or if PAN card copy not provided) (Please refer instruction C & K at the end)

(Certified copy of <u>any one</u> of the following Proof of Identity [PoI] needs to be submitted)

☐ A- Passport Number — Passport Expiry Date D D – M M – Y Y Y Y

☐ B- Voter ID Card

☐ D- Driving Licence — Driving Licence Expiry Date D D – M M – Y Y Y Y

☐ E- Aadhaar Card

☐ F- NREGA Job Card

☐ Z- Others (any document notified by the central government) Identification Number

3. Proof of Address (PoA)*

☐ 3.1 Current / Permanent / Overseas Address Details (Please see instruction D at the end)

Address

Line 1*

Line 2

Line 3 City / Town / Village*

District* Zip / Post Code* State/UT Code as per Indian Motor Vehicle Act, 1988

State/UT* Country* Country Code as per ISO 3166

Address Type* ☐ Residential / Business ☐ Residential ☐ Business ☐ Registered Office ☐ Unspecified

(Certified copy of <u>any one</u> of the following Proof of Address [PoA] needs to be submitted)

Proof of Address*

☐ Passport Number — Passport Expiry Date D D – M M – Y Y Y Y

☐ Voter ID Card

☐ Driving Licence — Driving Licence Expiry Date D D – M M – Y Y Y Y

☐ Aadhaar Card

☐ NREGA Job Card

☐ Others (any document notified by the central government) Identification Number

☐ 3.2 Correspondence / Local Address Details* (Please see instruction E at the end) ☑ Tick if same as current address

Same as Current / Permanent / Overseas Address details (In case of multiple correspondence / local addresses, please fill 'Annexure A1', Submit relevant documentary proof)

Line 1*

Line 2

Line 3 City / Town / Village*

District* Zip / Post Code* State/UT Code as per Indian Motor Vehicle Act, 1988

State/UT* Country* Country Code as per ISO 3166

4. Contact Details (All communications will be sent on provided Mobile no. / Email-ID) (Please refer instruction **F** at the end)

Mobile Tel. (Off) Tel. (Res)

Email ID

5. Specify the proof of address submitted for permanent address Please Tick (✓)

☐ Passport ☐ Ration Card ☐ Registratered Lease / Sale Agreement of Residence

☐ Driving License ☐ Voter Identity Card

☐ Latest Bank A/c. Statement / Passbook ☐ *Latest Telephone Bill (only Land Line)

☐ *Latest Electricity Bill ☐ *Latest Gas Bill ☐ Others

***Not more than 3 Months old. Validity / Expire date of proof of address submitted**

d d / m m / y y y y

6. Details of Related Person (Optional) (please refer instruction G at the end) (in case of additional related persons, please fill 'Annexure B1')

☐ Related Person ☐ Deletion of Related Person KYC Number of Related Person (if available*)

Related Person Type* ☐ Guardian of Minor ☐ Assignee ☐ Authorized Representative

Name* Prefix First Name Middle Name Last Name

(If KYC number and name are provided, below details of section 6 are optional)

☐ Proof of Identity [PoI] of Related Person* (Please see instruction (**H**) at the end)

(Certified copy of any one of the following Proof of Identity[PoI] needs to be submitted)

☐ A- Passport Number Passport Expiry Date d d – m m – y y y y

☐ B- Voter ID Card

☐ C- PAN Card

☐ D- Driving Licence Driving Licence Expiry Date d d – m m – y y y y

☐ E- Aadhaar Card

☐ F- NREGA Job Card

☐ Z- Others (any document notified by the central government) Identification Number

7. Remarks (If any)

8. Applicant Declaration

- I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it. I hereby declare that I am not making this application for the purpose of contravention of any Act, Rules, Regulations or any statute of legislation or any notifications/directions issued by any governmental or statutory authority from time to time.
- I hereby consent to receiving information from Central KYC Registry through SMS/Email on the above registered number/email address.

Date: d d – m m – y y y y Place:

[Signature / Thumb Impression]

3 ☑ _____

Signature / Thumb Impression of Applicant

9. Attestation / For Office Use Only

Documents Received ☐ Certified Copies

1100017000

KYC Verification Carried Out by *(Refer Instruction I)*

Date d d – m m – y y y y

Emp. Name

Emp. Code

Emp. Designation

[Employee Signature]

In-Person Verification (IPV) Carried Out by *(Refer Instruction J)*

Date d d – m m – y y y y

Emp. Name

Emp. Code

Emp. Designation

[Employee Signature]

Institution Details

Name INDIRA SECURITIESPRIVATE LIMITED

Code I N 1 8 7 4

Emp. Branch

Indira Securities Private Limited • Indore (M.P.) •

INDIRA
Gateway to Prosperity

INSTRUCTIONS / CHECK LIST FOR FILLING KYC FORM

1. Self attested copy of AADHAR cards is mandatory for all individual clients.
2. Copies of all the documents submitted by the applicant should be self -attested and accompanied by originals for verifications. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
3. If any proof of identity or address is in a foreign language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent address are different, then proofs for both have to be submitted.
6. Non -resident and foreign nationals, (Not allowed to open Repository account as pp WDRA guideline). In case of Merchant Navy NRI'S Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
7. Minor, (Not allowed to open Repository account as per WDRA guideline).
8. Politically Exposed Person (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreig country ,e.g. Heads of States or of Governments , Seniors politicians, Senior Government /Judicial/Military officers, senior executives of the state owed corporations, important political party officials etc.

B. PROOF OF IDENTITY (POI) : List of documents admissible as proof of identity:

1. PAN card with photograph .This is a mandatory requirement for all applicants except those who are specifically exempt from obtaining PAN (listed in Section D).
2. Unique identification Number (UID) (Aadhar)/Passport/Voter ID card/Driving license.
3. Identity card/documents with applicant's photo, issued by any of the following: Central/State Government and its department, Statutory /Regulatory Authorities, Public sector undertakings, Scheduled commercial bank, Public Financial institutions, College affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, bar council etc .., to their members and credit cards/Debits cards issued by Banks.

C. PROOF OF ADDRESS (POA): **List of documents admissible as proof of address: (*Documents having an expiry date should be valid on the date of submission.)**

1. Passport / Voters Identity Card / Ration Card / registered lease or sale agreement of residence / Driving License / Flat maintenance bill / Insurance copy.
2. Utility bills like Telephone Bill (Only land line), Electricity bill or Gas Bill -Not more than 3 months old.
3. Bank Account Statement /passbook-Not more than 3 months old.
4. Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
5. Proof of address issued by any of the following Bank managers of Scheduled Commercial Banks/Scheduled Co operative Bank / Multinational Banks / Gazetted Office / Notary public / Elected representative to the Legislative assembly/Parliament/Documents issued to Govt. or Statutory Authority.
6. Identity Card/documents with address, issued by any of the following: Central/State government and its department Statutory / Regulatory Authorities Public sector undertakings, Scheduled Commercial Banks, Public Financial Institutions, and Colleges affiliated to universities and Professional Bodies such as ICAI, ICWAI, ICSI Bar council etc. to their members.
7. The proof of address in the name of the Spouse may be accepted.

D. EXEMPTIONS/CLARIFICATIONS TO PAN

(*Sufficient documentary evidence in support of such claims to be collected.)

1. In case of transactions undertaken on behalf of centra Government and/or State Government by Officials appointed by Courts e.g. Official liquidator, Court receiver etc.
2. Investors residing in the state of Sikkim.
3. UN entities /multilateral agencies exempt from paying taxes/ filling tax returns in India.
4. SIP of Mutual Funds up to R s 50,000/-p.a.
5. In case of institutional clients, namely, Flls, MFs, VCFs, FVCIs, Scheduled commercial Banks, Multilateral and Bilateral development financial institutions, state industrial development corporations, insurance companies registered with IRDA and public Financial Institutions as defined under section 4A of the companied Act, 1956. Custodians shall verify the pan card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

E. LIST OF PEOPLE AUTHORIZED TO ATTEST THE DOCUMENTS:

1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial /Co -operative Bank or Multinational Banks (Name, Designation & Seal Should be fixed on the copy).

Please submit the KYC documents on A4 Size Paper Only

CKYC & KRA KYC Form

Know Your Client
Application Form (For Individuals only)
(Please fill the form in English and in BLOCK Letters)
Fields marked with '*' are mandatory fields

Application Type* ☐ New ☐ Update KYC Number*

KYC Type* ☐ Normal (PAN is mandatory) ☐ PAN Exempt Investors (Refer instruction K)

1. Identity Details (Please refer instruction **A** at the end)

PAN

Please enclose a duly attested copy of your PAN Card

	Prefix	First Name	Middle Name	Last Name
Name* (same as ID proof)				
Maiden Name (If any*)				
Father / Spouse Name*				
Mother Name*				

Date of Birth* D D – M M – Y Y Y Y

Photo

Gender* ☐ M- Male ☐ F- Female ☐ T-Transgender

Marital Status* ☐ Married ☐ Unmarried ☐ Others

Citizenship* ☐ IN- Indian ☐ Others – Country _____ Country Code

Residential Status* ☐ Resident Individual ☐ Non Resident Indian ☐ Foreign National ☐ Person of Indian Origin

Occupation Type* ☐ S-Service ☐ Private Sector ☐ Public Sector ☐ Government Sector ☐ O-Others ☐ Professional ☐ Self Employed ☐ Retired ☐ Housewife ☐ Student ☐ B-Business ☐ X-Not Categorised

Signature/ Thumb Impression

2 ☑ _____

2. Proof of Identity (PoI)* (for PAN exempt Investor or if PAN card copy not provided) (Please refer instruction C & K at the end)

(Certified copy of any one of the following Proof of Identity [PoI] needs to be submitted)

☐ A- Passport Number — Passport Expiry Date D D – M M – Y Y Y Y

☐ B- Voter ID Card

☐ D- Driving Licence — Driving Licence Expiry Date D D – M M – Y Y Y Y

☐ E- Aadhaar Card

☐ F- NREGA Job Card

☐ Z- Others (any document notified by the central government) Identification Number

3. Proof of Address (PoA)*

☐ 3.1 Current / Permanent / Overseas Address Details (Please see instruction D at the end)

Address

Line 1*

Line 2

Line 3 City / Town / Village*

District* Zip / Post Code* State/UT Code as per Indian Motor Vehicle Act, 1988

State/UT* Country* Country Code as per ISO 3166

Address Type* ☐ Residential / Business ☐ Residential ☐ Business ☐ Registered Office ☐ Unspecified

(Certified copy of any one of the following Proof of Address [PoA] needs to be submitted)

Proof of Address*

☐ Passport Number — Passport Expiry Date D D – M M – Y Y Y Y

☐ Voter ID Card

☐ Driving Licence — Driving Licence Expiry Date D D – M M – Y Y Y Y

☐ Aadhaar Card

☐ NREGA Job Card

☐ Others (any document notified by the central government) Identification Number

☐ 3.2 Correspondence / Local Address Details* (Please see instruction E at the end) ☑ Tick if same as current address

Same as Current / Permanent / Overseas Address details (In case of multiple correspondence / local addresses, please fill 'Annexure A1', Submit relevant documentary proof)

Line 1*

Line 2

Line 3 City / Town / Village*

District* Zip / Post Code* State/UT Code as per Indian Motor Vehicle Act, 1988

State/UT* Country* Country Code as per ISO 3166

4. Contact Details (All communications will be sent on provided Mobile no. / Email-ID) (Please refer instruction **F** at the end)

Mobile – Tel. (Off) – Tel. (Res) –

Email ID

5. Specify the proof of address submitted for permanent address Please Tick (✓)

☐ Passport ☐ Ration Card ☐ Registratered Lease / Sale Agreement of Residence

☐ Driving License ☐ Voter Identity Card

☐ Latest Bank A/c. Statement / Passbook ☐ *Latest Telephone Bill (only Land Line)

☐ *Latest Electricity Bill ☐ *Latest Gas Bill ☐ Others

***Not more than 3 Months old. Validity / Expire date of proof of address submitted**

d d / m m / y y y y

6. Details of Related Person (Optional) (please refer instruction G at the end) (in case of additional related persons, please fill 'Annexure B1')

☐ Related Person ☐ Deletion of Related Person KYC Number of Related Person (if available*)

Related Person Type* ☐ Guardian of Minor ☐ Assignee ☐ Authorized Representative

Name* Prefix First Name Middle Name Last Name

(If KYC number and name are provided, below details of section 6 are optional)

☐ Proof of Identity [PoI] of Related Person* (Please see instruction (**H**) at the end)

(Certified copy of <u>any one</u> of the following Proof of Identity[PoI] needs to be submitted)

☐ A- Passport Number Passport Expiry Date d d – m m – y y y y

☐ B- Voter ID Card

☐ C- PAN Card

☐ D- Driving Licence Driving Licence Expiry Date d d – m m – y y y y

☐ E- Aadhaar Card

☐ F- NREGA Job Card

☐ Z- Others (any document notified by the central government) Identification Number

7. Remarks (If any)

8. Applicant Declaration

- I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it. I hereby declare that I am not making this application for the purpose of contravention of any Act, Rules, Regulations or any statute of legislation or any notifications/directions issued by any governmental or statutory authority from time to time.
- I hereby consent to receiving information from Central KYC Registry through SMS/Email on the above registered number/email address.

Date: d d – m m – y y y y Place:

[Signature / Thumb Impression]

3 ☑ _____

Signature / Thumb Impression of Applicant

9. Attestation / For Office Use Only

Documents Received ☐ Certified Copies

1100017000

KYC Verification Carried Out by *(Refer Instruction I)*

Date d d – m m – y y y y

Emp. Name

Emp. Code

Emp. Designation

[Employee Signature]

In-Person Verification (IPV) Carried Out by *(Refer Instruction J)*

Date d d – m m – y y y y

Emp. Name

Emp. Code

Emp. Designation

[Employee Signature]

Institution Details

Name INDIRA SECURITIESPRIVATE LIMITED

Code I N 1 8 7 4

Emp. Branch

Indira Securities Private Limited • Indore (M.P.) •

Non-Individual

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Gateway to Prosperity

INSTRUCTIONS / CHECK LIST FOR FILLING KYC FORM

1. Self attested copy of AADHAR cards is mandatory for all individual clients.
2. Copies of all the documents submitted by the applicant should be self -attested and accompanied by originals for verifications. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
3. If any proof of identity or address is in a foreign language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent address are different, then proofs for both have to be submitted.
6. Non -resident and foreign nationals, (Not allowed to open Repository account as pp WDRA guideline). In case of Merchant Navy NRI'S Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
7. Minor, (Not allowed to open Repository account as per WDRA guideline).
8. Politically Exposed Person (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreig country ,e.g. Heads of States or of Governments , Seniors politicians, Senior Government /Judicial/Military officers, senior executives of the state owed corporations, important political party officials etc.

B. PROOF OF IDENTITY (POI) : List of documents admissible as proof of identity:

1. PAN card with photograph .This is a mandatory requirement for all applicants except those who are specifically exempt from obtaining PAN (listed in Section D).
2. Unique identification Number (UID) (Aadhar)/Passport/Voter ID card/Driving license.
3. Identity card/documents with applicant's photo, issued by any of the following: Central/State Government and its department, Statutory /Regulatory Authorities, Public sector undertakings, Scheduled commercial bank, Public Financial institutions, College affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, bar council etc .., to their members and credit cards/Debits cards issued by Banks.

C. PROOF OF ADDRESS (POA): **List of documents admissible as proof of address: (*Documents having an expiry date should be valid on the date of submission.)**

1. Passport / Voters Identity Card / Ration Card / registered lease or sale agreement of residence / Driving License / Flat maintenance bill / Insurance copy.
2. Utility bills like Telephone Bill (Only land line), Electricity bill or Gas Bill -Not more than 3 months old.
3. Bank Account Statement /passbook-Not more than 3 months old.
4. Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
5. Proof of address issued by any of the following Bank managers of Scheduled Commercial Banks/Scheduled Co operative Bank / Multinational Banks / Gazetted Office / Notary public / Elected representative to the Legislative assembly/Parliament/Documents issued to Govt. or Statutory Authority.
6. Identity Card/documents with address, issued by any of the following: Central/State government and its department Statutory / Regulatory Authorities Public sector undertakings, Scheduled Commercial Banks, Public Financial Institutions, and Colleges affiliated to universities and Professional Bodies such as ICAI, ICWAI, ICSI Bar council etc. to their members.
7. The proof of address in the name of the Spouse may be accepted.

D. EXEMPTIONS/CLARIFICATIONS TO PAN

(*Sufficient documentary evidence in support of such claims to be collected.)

1. In case of transactions undertaken on behalf of centra Government and/or State Government by Officials appointed by Courts e.g. Official liquidator, Court receiver etc.
2. Investors residing in the state of Sikkim.
3. UN entities /multilateral agencies exempt from paying taxes/ filling tax returns in India.
4. SIP of Mutual Funds up to R s 50,000/-p.a.
5. In case of institutional clients, namely, Flls, MFs, VCFs, FVCIs, Scheduled commercial Banks, Multilateral and Bilateral development financial institutions, state industrial development corporations, insurance companies registered with IRDA and public Financial Institutions as defined under section 4A of the companied Act, 1956. Custodians shall verify the pan card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

E. LIST OF PEOPLE AUTHORIZED TO ATTEST THE DOCUMENTS:

1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial /Co -operative Bank or Multinational Banks (Name, Designation & Seal Should be fixed on the copy).

Please submit the KYC documents on A4 Size Paper Only

INDIRA
Gateway to Prosperity

For Non-individuals

(To be filled up by the applicant in **BLOCK LETTERS** in English)
We request you to open a commodity account in my/our name as per following details:-

HOLDER DETAILS

CLIENT NAME*	

TYPE OF ACCOUNT (Please tick whichever is applicable)

CLIENT TYPE	CLIENT SUB-TYPE
Corporate	☐ OPC ☐ LLP ☐ Corpoate body - Domestic ☐ Corporate body - OCB ☐ Corporate body-Govt. Co. ☐ Corporate body - Central Govt. ☐ Corporate body-State Govt ☐ Corporate body-NBFC ☐ Corporate body - Non-NBFC ☐ Corporate body - FPO ☐ Corporate body-Cooperative ☐ Corporate body - Cooperative Bank ☐ Eligible Foreign Entities (EFEs)
Clearing Member	☐ Clearing Member ☐ Trading Member
Bank	☐ Bank-Foreing ☐ Bank - Co-operative ☐ Bank-Nationalized ☐ Bank-Other
Learing House / Clearing Corporation	☐ Clearing House / Clearing Corporation
Firm	☐ Proprietary Concern ☐ Partnership Firm

Account Statement Requirement* (If not marked, the default option would be 'Electronic' and statement frequency would be 'Quarterly')	**Statement Type:** ☐ Physical ☐ Electronic **Statement Frequency:** ☐ Monthly ☐ Quarterly ☐ Half Yearly ☐ Annually
Business GST No.*	

CIN No. (If Applicable)		**LLPIN No.** (If Applicable)		**Cooperative Society Registration No.** (If Applicable)	
Exchanged Id# (If Applicable)			**Exchange Name**		
CC Id# (If Applicable)			**Clearing Corporation Name**		
Clearing Member Id (If Applicable)					
Trading Member Id (If Applicable)					
☐ SEBI Registration Number ☐ RBI Registraion Number			Registraion date	D D M M Y Y Y Y	

■ CIN No. Mandatory For Account Type - Corporate, Clearing Member, Bank ■ LLPIN No. Mandatory For Account Type - Corporate (LLP) ■ Cooperative Society registration no. Mandatory For Account Type - Corporate Body Cooperative ■ Exchange Id Mandatory For Account Type - Clearing Member ■ CC ID Mandatory For Account Type - CClearing Member ■ Clearing Member Id Mandatory For Account Type - Clearing Member ■ Trading Member Id Mandatory For Account Type - Trading Member ■ SEBI Registration No and Registration Date Mandatory For Account Type - Clearing Member ■ RBI Registration No. and Registration Date Mandatory For Account Type - Bank

Applicable only for clients trading on recognized Exchanges.

Auto Credit*. We instruct the RP to receive each and every credit in my/our account (If not marked, the dafault option would be 'No')	(Automatic Credit) ☐ Yes ☐ No
STATEMENT FLAG* (If not marked, the default option would be 'No')	☐ Yes ☐ No
On Market Entry Type - Applicable for Account Type - Clearing Member & Trading Member (If not marked, the default option would be 'Notional')	☐ Notional ☐ Real

INDIRA
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A. BANK ACCOUNT(S) DETAILS

Bank Name																															
Branch Address																															
											CITY													PIN							
Bank Account No.																															

Type:		Saving		Current		Other-In case of NRI/NRE/NRO (specify)				

MICR Number																IFSC Code															

B. REPOSITORY ACCOUNT (S) DETAILS

Repository Participant Name	INDIRA SECURITIES PRIVATE LIMITED

Beneficiary ID (BO ID)	CCRL																NERL														
Beneficiary Name																															
DP ID	1	2	0	1	7	0	0	0																							

Gross Annual Income Details*	Net worth as on (Date)	D D M M Y Y Y Y ☐ **Lakhs** ☐ **Crores**
	Net worth ₹	
		(Net worth should not be older than 1 year)

Please tick if any of the authorized signatories / Promoters / Partners / Trustees / Whole Time Dicectors is either Politically Exposed Person (PEP) or Related to Politically Exposed Person (RPEP) ☐
Please provide details as per Annexure 2A.

PROOF OF IDENTITY TO BE PROVIDED BY APPLICANT.

Please Submit any one of the following documents & Tick (✓) against the docuemnt attached:

☐ PAN ☐ UID (AADHAR) ☐ Any other proof of addess document (as listed in check list for filling KYC form)

(Please specify)

PROOF OF ADDRESS TO BE PROVIDED BY APPLICANT. (Not More than 3 months old)

Please Submit any one of the following documents & Tick (✓) against the docuemnt attached:

☐ Latest Bank Account Statement ☐ Latest Telephon Bill (Only Land Line) ☐ Latest Electricity Bill

☐ Registered Lease/Sale agreement of office premises ☐ Any other proof of addess document (as listed on check list for filling KYC form)

(Please specify) * Not more than 3 Months old.

DISCLAMAIR: Any change to be informed to Reparticipant within 7 days

DOCUMENTS

PAN*	D D M M Y Y Y Y
DATE OF BIRTH*	

Details of Authorized Representative for Deposit/Withdrawal	
Authorised Representative Name (Specific person/s only)*	Aadhar No.

Or - Anyone apart from list ☐ (To mark if opted to keep any other person not specified above)

If anyone else tick box is not marked and Specific person/s field is left blank, it will be default anyone else)

DECLERATION

We have received and read the Rights and Obligations document and terms & conditions and agree to abide by and be bound by the same and by the Bye Laws of CCRL as are in force time to time. We declare that the particulars given by us above are true and to the best of our knowledge as on the date of making this application. We further agree that any false / misleading information given by us or suppression of any material information will render our account liable for termination and sitable action.

Place: _____ Date: _____

(dd / mm/ yy)

Details of Promoters/Sole Proprietor/Partners/Trustees and whole time directors:

Authorised Signatory

	Sole/First Authorised Signatory	Second Authorised Signatory	Third Authorised Signatory
Name*			
Designation*			
Mobile No.*			
Signature*			
Photograph*	Please affix recent passport size photograph and sign across it	Please affix recent passport size photograph and sign across it	Please affix recent passport size photograph and sign across it

Signature should be preferable in blue ink.

(In case of more authorised signatories, please add annexure)

----------(Please Tear Here) ----------

Acknowledgement Receipt

Application No.:_____ **Date:**_____

We hereby acknowledge the receipt of the Account Opening Application Form:

NAME OF FIRM / COMPNAY: _____

For: Indira Securities Pvt. Ltd

Signature of the Authorised Signatory

Details of Politically Exposed Persons (PEP) / Related to Politically Exposed Person (RPEP). [For-non-individual] (Annuxure 2A)

Name of holder _____ **PAN of the holder** _____

Sr. No.	Name of the Authorized signatories.Promoters/Partners/Trustees/Whole Time Directors	Relation with the holder (i.e. promoters, whole time directors etc)	Please tick the relevenat option.
			☐ **PEP** ☐ **RPEP**
			☐ **PEP** ☐ **RPEP**
			☐ **PEP** ☐ **RPEP**
			☐ **PEP** ☐ **RPEP**
			☐ **PEP** ☐ **RPEP**

Name & Signature of the Authorised Signatories Date_____/_____/_____ **PEP:** Politically Exposed Person
RPEP: Related to politically exposed person

Income Range*	**Income Range per annum:** ☐ Up to 1,00,000 ☐ Rs 1,00,001 to Rs 5,00,000 ☐ Rs 5,00,001 to Rs 10,00,000 ☐ Rs 10,00,001 to Rs 25,00,000 ☐ Rs 25,00,001 to Rs 10,000,000 ☐ More than Rs 10,000,001

PAN*	D	D	M	M	Y	Y	Y	Y
DATE OF BIRTH*								

PROOF OF IDENTITY TO BE PROVIDED BY APPLICANT.

Please Submit any one of the following documents & Tick (✓) against the docuemnt attached:

☐ PAN ☐ UID (AADHAR) ☐ Any other proof of addess document (as listed in check list for filling KYC form)

(Please specify)

PROOF OF ADDRESS TO BE PROVIDED BY APPLICANT.

Please Submit any one of the following documents & Tick (✓) against the docuemnt attached:

☐ Latest Bank Account Statement ☐ Latest Telephon Bill (Only Land Line) ☐ Latest Electricity Bill

Registered Lease/Sale agreement of office premises Any other proof of addess document (as listed on check list for filling KYC form)

(Please specify)

* Not more than 3 Months old.

Details of Authorized Representative for Deposit/Withdrawal	
Authorised Person (Specific person/s only)*	Aadhar No.
Or - Anyone apart from list ☐ (To mark if opted to keep any other person not specified above)	
If anyone else tick box is not marked and Specific person/s field is left blank, it will be default anyone else	

DECLERATION

I have received and read the Rights and Obligations document and terms & Conditions and agree to abide by and be bound by the same and by the Bye Laws of CCRL as are in force from time to time. I declare that the particulars given by me above are true and to the best of my knowledge as on the date of making this application. I agree and undertake to intimate the RP any change(s) in the details / Particulars mentioned by me in this form. I further agree that any false / misleading informaton given by me or suppression of any material information will render my account liable for termination and suitable action.

Place: _____

Date: _____

(dd / mm/ yy)

Name*	Please affix recent passport size photograph and sign across it*
Signature*	

Signature should be preferable in blue ink.

----------(Please Tear Here) ----------

Acknowledgement Receipt

Application No.:_____ **Date:**_____

We hereby acknowledge the receipt of the Account Opening Application Form:

NAME: _____

For: Indira Securities Pvt. Ltd

Signature of the Authorised Signatory

INDIRA
Gateway to Prosperity

Rights and obligations between Depositor / Client and RP

General Clause

1. The Commodity Owner and the Repository Participant (RP) shall be bound by the Circulars / Notifications / Guidelines issued there under, Bye Laws and Business Rules/Operating Instructions issued by the Repositories and relevant notifications of WDRA and Government Authorities as may be in force from time to time.
2. The RP shall open/activate Client (CO) account of a commodity owner in the Repository system only after receipt of complete Account opening form, KYC and supporting documents as specified by WDRA from time to time.

Commodity Owner information

3. The RP shall maintain all the details of the Commodity owner(s) as mentioned in the account opening form, supporting documents submitted by them and/or any other information pertaining to the Commodity owner confidentially and shall not disclose the same to any person except as required by any statutory, legal or regulatory authority in this regard.
4. The Commodity Owner shall immediately notify the RP in writing, if there is any change in details provided in the account opening form as submitted to the RP at the time of opening the Client (CO) account or furnished to the RP from time to time.

Fees/Charges/Tariff

5. The Commodity Owner shall pay such charges to the RP for the purpose of holding and transfer of Commodities in eNWR / eNNWR form and for availing Repository services as may be agreed to from time to time between the RP and the Commodity Owner as set out in the Tariff Sheet provided by the RP. It may be informed to the Commodity Owner that "no charges are payable for opening of Client (CO) accounts"
6. In case of Basic Services Client (CO) Accounts, the RP shall adhere to the charge as laid down under the relevant WDRA and/or Repository circulars/directions/notifications issued from time to time.
7. The RP shall not increase any charges/tariff agreed upon unless it has given a notice in writing of not less than thirty days to the Commodity Owner regarding the same.
8. Deposit (eNNWR/eNWR): The Commodity Owner shall have the right to get the commodity, which have been admitted on the Repositories System, Deposit in the form of eNNWR / eNWR and manner laid down under the Bye Laws, Business Rules and Operating Instructions of the Repositories.

Separate Accounts

9. The RP shall open separate accounts in the name of each of the Commodity owners and Commodity of each Commodity owner shall be segregated and shall not be mixed up with the Commodity of other Commodity owners and/or RP's own Commodities held in eNNWR / eNWR form.
10. The RP shall not facilitate the Commodity Owner to create or permit any pledge and /or hypothecation or any other interest or encumbrance over all or any of such Commodities submitted for eNNWR/eNWR Generation and/or held in Client (CO) account except in the form and manner prescribed by Repositories and Bye-Laws/Operating Instructions/Business Rules of the Repositories.

Transfer of Commodities

11. The RP shall affect transfer to and from the Client (CO) accounts of the Commodity Owner only on the basis of an order, instruction, direction or mandate duly authorized by the Commodity Owner and the RP shall maintain the original documents and the audit trail of such authorizations.
12. The Commodity Owner reserves the right to give standing instructions with regard to the credition of Commodity in his Client (CO) account in the form of eNNWR /eNWR and the RP shall act according to such instructions.

Statement of account

13. The RP shall provide statements of accounts to the Commodity Owner in such form and manner and at such time as agreed with the Commodity Owner and as specified by SEBI/Repository in this regard.
14. However, if there is no transaction in the Client (CO) account, or if the balance has become Nil during the year, the RP shall send one physical statement of holding annually to such COs and shall resume sending the transaction statement as and when there is a transaction in the account.
15. The RP may provide the services of issuing the statement of Client (CO) accounts in an electronic mode if the Commodity Owner so desires. The RP will furnish to the Commodity Owner the statement of Client (CO) accounts under its digital signature, as governed under the Information Technology Act, 2000. However if the RP does not have the facility of providing the statement of Client (CO) account in the electronic mode, then the RP shall be obliged to forward the statement of Client (CO) accounts in physical form.
16. In case of Basic Services Client (CO) Accounts, the RP shall send the transaction statements as mandated by SEBI, Authority and/or Repository from time to time.

Manner of Closure of Client (CO) account

17. The RP shall have the right to close the Client (CO) account of the Commodity Owner, for any reasons whatsoever, provided the RP has given a notice in writing of not less than thirty days to the Commodity Owner as well as to

the Repository. Similarly, the Commodity Owner shall have the right to close his/her Client (CO) account held with the RP provided no charges are payable by him/her to the RP. In such an event, the Commodity Owner shall specify whether the balances in their Client (CO) account should be transferred to another Client (CO) account of the Commodity Owner held with another RP or to withdraw the Commodity (eNWR / eNNWR) balances held.

18. Based on the instructions of the Commodity Owner, the RP shall initiate the procedure for transferring such Commodity (eNWR / eNNWR) balances or withdraw such Commodity (eNWR / eNNWR) balances within a period of thirty days as per procedure specified from time to time by the Repository. Provided further, closure of Client (CO) account shall not affect the rights, liabilities and obligations of either the Commodity Owner or the RP and shall continue to bind the Parties to their satisfactory completion.

Default in payment of charges

19. In event of Commodity Owner committing a default in the payment of any amount provided in Clause 5 & 6 within a period of thirty days from the date of demand, without prejudice to the right of the RP to close the Client (CO) account of the Commodity Owner, the RP may charge interest at a rate as specified by the Repository from time to time for the period of such default.

20. In case the Commodity Owner has failed to make the payment of any of the amounts as provided in Clause 5 & 6 specified above, the RP after giving two days notice to the Commodity Owner shall have the right to stop processing of instructions of the Commodity Owner till such time he makes the payment along with interest, if any.

Liability of the Repository

21. As per Warehousing (Development and Regulation) Act, 2007, without prejudice to the provisions of any other law for the time being in force, any loss caused to the Commodity owner due to the negligence of the Repository or the RP, the Repository shall indemnify such Commodity owner.

21.1 Where the loss due to the negligence of the RP under Clause (1) above, is indemnified by the Repository, the Repository shall have the right to recover the same from such RP.

Freezing/ De-freezing of accounts

22. The Commodity Owner may exercise the right to freeze/ de-freeze his/her Client (CO) account maintained with the RP in accordance with the procedure and subject to the restrictions laid down under the Bye Laws and Business Rules/Operating Instructions.

23. The RP or the Repository shall have the right to freeze/de-freeze the accounts of the Commodity Owners on receipt of instructions received from the Authority, any other regulator or court or any statutory authority.

Redressal of Investor grievance

24. The RP shall redress all grievances of the Commodity Owner against the RP within a period of thirty days from the date of receipt of the complaint.

Authorized representative

25. Commodity Owner shall, along with the account opening form, furnish to the RP, a list of officials authorized by her/ him/them, who shall represent and interact on her /his/ their behalf with the RP. Any change in such list including additions, deletions or alterations thereto shall be forthwith communicated to the Participant.

Law and Jurisdiction

26. In addition to the specific rights set out in this document, the RP and the Commodity owner shall be entitled to exercise any other rights which the RP or the Commodity Owner may have under the Rules, Bye Laws and Regulations of the respective Repository in which the Client (CO) account is opened and circulars/notices issued there under or Rules and Regulations of WDRA.

27. The provisions of this document shall always be subject to Government notification, rules, regulations, guidelines and circulars/ notices issued by WDRA and Rules, Regulations and Bye-laws of the relevant Repository, where the Commodity Owner maintains his/ her account, that may be in force from time to time.

28. The Commodity Owner and the RP shall abide by the arbitration and conciliation procedure prescribed under the Bye-laws of the Repository and that such procedure shall be applicable to any disputes between the RP and the Commodity Owner.

29. Words and expressions which are used in this document but which are not defined herein shall unless the context otherwise requires, have the same meaning as assigned thereto in the Rules, Bye-laws and Regulations and circulars/ notices issued there under by the Repository and /or WDRA.

30. Any changes in the rights and obligations which are specified by WDRA/Repositories shall also be brought to the notice of the Client (CO)s at once.

31. If the rights and obligations of the parties hereto are altered by virtue of change in Rules and regulations of WDRA or Bye-laws, Rules and Regulations of the relevant Repository, where the Commodity Owner maintains his/her account, such changes shall be deemed to have been incorporated herein in modification of the rights and obligations of the parties mentioned in this document.

Signature*

Indira Securities Pvt. Ltd.

204, 205, Amardarshan Building, 28/2 Old Palasia, Indore - 452018 (M.P.)

DEPOSITOR / CLIENT - TM - CM UPDATE FORM

RP ID - 12017000

Request No.*		Request Date*	D	D	M	M	Y	Y	Y	Y

(Please fill all the details in **Block Letters in English**)

RB ID*	1	2	0	1	7	0	0	0	Depositor/Client ID*									
Depositor / Client Name																		

CE CC ID*	CE Name	CC Name	UCC*	TM ID*	TM Name	CM ID*	CM NAME

Authorised Signatory

	Sole/First Authorised Signatory	Second Authorised Signatory	Third Authorised Signatory
Name*			
Signature*			

(Signature should be preferable in blue ink.)

(In case of more authorised signatories, please annexure)

----------(Please Tear Here)----------

Acknowledgement Receipt

Received Depository / Client - CM Linking request as per deetails given below:

Application No.									Date	D	D	M	M	Y	Y	Y	Y
RB ID	1	2	0	1	7	0	0	0	Depositor/Client ID									
Name of Depositor / Client ID																		

For: Indira Securities Pvt. Ltd.

Signature of the Authorised Signatory

Indira Securities Pvt. Ltd.

204, 205, Amardarshan Building, 28/2 Old Palasia, Indore - 452018 (M.P.)

TM ACCOUNT MASTER CREATION FORM

RP ID - 12017000

Request No.*		Request Date*	D	D	M	M	Y	Y	Y	Y

Please fill all the details in **Block Letters** in English

RP ID*		Depositor/Client ID*	
Depositor/Client Name			
CE CC ID*			

CE ID*		CE Name	
CC ID*		CC Name	

TM ID*		TM Name	
CM ID*		CM Name	

Authorised Signatory

	Sole/First Authorised Signatory	Second Authorised Signatory	Third Authorised Signatory
Name			
Signature*			

(Signature should be preferably in blue ink).

(In case of more authorised signatories, please add annexure)

----------(Please Tear Here)----------

Acknowledgement Receipt

Received TM - CM Linking request as per details given below:

Request No.*		Request Date*	D	D	M	M	Y	Y	Y	Y
RP ID*		Depositor/Client ID*								
Depositor/Client Name										
TM Name										

For: Indira Securities Pvt. Ltd.

Signature of the Authorised Signatory