INDIRA
Gateway to Prosperity

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Membership No., SEBI Registration No. & Date : **CIN - U67120MH1996PTC160201**

Exchange	Membership No.	SEBI Registration No. & Date
NSE	12866	INZ000188930 / 01.08.2018
BSE	663	INZ000188930 / 01.08.2018
CDSL	17000	IN - DP - CDSL - 90 - 2015 / 03.07.2015
MCX	56470	INZ000188930 / 01.08.2018
NCDEX	01277	INZ000188930 / 01.08.2018

Clearing Member for Currency Derivatives: ICICI Bank Limited (M. No. 4). **GSTIN : 23AADCI2772F1ZW**

Registered office address: **"Indira House" 5 Topiwala Lane, Lamington Road, Grant Road (East), Mumbai - 400007**

Phone : **022-66224202-204** Fax : **022-66224200** Website: **www.indiratrade.com**

Correspondence office address : **204,205, Amardarshan Building, 28/2 Old Palasia, Indore - 452018 (M.P)**

Phone : **0731-4797170-71-72-73** Fax : **0731-2562117** Website: **www.indiratrade.com**

Compliance officer name, Phone No. & email id : **Jyoti Singh - 0731-4797151 compliance@indiratrade.com**

Director Name, Phone No. & email id : **Sanjay C. Baxi - 022-66224220 sanjaybaxi@yahoo.co.in**

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DP TARIFF SCHEDULE			
SCHEMES	**AMC Based**	On Sale Through POA	0.010% (Min Rs. 10)
Legal Documentation Charges	Nil	KRA Charges	Rs. 40/-Per Pan No.
Power of Attorney	Rs 130/-	Demat Charges	Rs. 5/- Per Certificate + Rs.35/- Courier Charge for per DRN.
Individual & HUF AMC	Rs 400/-	Remat Charges	Rs 50/- Per RRN
Corporate	Rs 1200/-	Pledge Creation	Rs 50/- Per PSN. or 0.02% Whichever is higher
Transaction	Rs 20/- or 0.020% or Negotiable	Pledge Closure	Rs 50/- Per Closure
On Purchase	NIL	Book Charges	Rs 20/-
Pledge creation & closure confirmation	NIL	Fail Instruction charges	Rs. 25/- Per Instruction
Special Scheme - Deposit Rs. 3000/- (Refundable at the time of closing of A/c.) for life time AMC.			

1. Fees Schedule are based on existing CDSL charges and may be changed at our discretion & will be informed before 30 days of implementation. 2. DRF would be accepted against up front payment. 3. Rejection of DRF will be charged at Rs. 35 of Registry only. 4. Service Tax and other levis would be extra as applicable.

Demat Schemes (AMC):	**Standard** ☐ **/ Other** ☐ **/ Rs. 3000** ☐ **/ Rs. 1500** ☐ **/ BSDA** ☐	
Account Opening Fee:	**Standard** ☐ **/ Other** ☐	
A/c Type:	**Direct** ☐ **/ Auth. Person** ☐	
RM/REM Code		**RM Name**

RP TARIFF SCHEDULE			
SR. NO.	**CATEGORY**	**TARIFF (Rs. Per MT or Part thereof)**	**TARIFF (Rs. Per Quintal or Part thereof)**
1	Legal Deocuement Charge	NIL	
2	Power of Attorney	N.A.	
3	A/c. Op. of Individual & HUF AMC	400/- Yearly	
4	A/c. Op. of Corporate AMC	1200/- Yearly	
5	Transaction on Market	Rs. 20/- per MT or Negotiable	Rs. 2/- per Quintal or Negotiable
6	Transaction Off Market	Rs. 100/- per MT or Negotiable	Rs. 10/- per Quintal or Negotiable
7	Transaction On Purchase	Nil	
8	Pledge Creation	Rs. 50/- per PSN. or Negotiale	
9	Pledge confirmation	NIL	
10	De-pledge	Rs. 20/- per MT or Negotiable	Rs. 2/- per Quintal or Negotiable
11	Invocation	NIL	
12	KRA Charges	Rs. 40/- Per PAN	
13	Deposit	Rs. 20/- Per MT or Negotiable	Rs. 2/- per Quintal or Negotiable
14	Withdrawal	Rs. 20/- per MT or Negotiable	Rs. 2/- per Quintal or Negotiable
15	Custody	Rs. 10/- per MT/month of Negotiable	Rs. 1/- per Quintal/month or Negotiable
16	Extension of validity	Rs. 20/-per MT/month of Negotiable	Rs. 2/- per Quintal/month or Negotiable
17	Conversion	Rs. 20/- per MT/month or Negotiable	Rs. 2/- per Quintal/month or Negotiable
18	Book Charges	NIL	
19	Fall Instruction Charges	Rs. 20/-	
20	Special Scheme - 1. Deposit Rs. 3000/- (without GST) Refundable at the time of closing of A/c) for Life Time AMC for individual Only. 2. Deposit Rs. 1500/- with GST (Non Refundable) for Life Time AMC for Individual Only.		

FORM No.*		REQUEST DATE*								

(To be filled by the applicant in **BLOCK LETTERS** in English)
We request you to open a commodity account in my/our name as per following details:-

1 ☑ _____

Date: _____
(dd / mm/ yy)

CKYC & KRA KYC Form

Know Your Client Application Form (For Individuals only)
(Please fill the form in English and in BLOCK Letters)
Fields marked with '*' are mandatory fields

Application Type* ☐ New ☐ Update KYC Number*

KYC Type* ☐ Normal (PAN is mandatory) ☐ PAN Exempt Investors (Refer instruction K)

1. Identity Details (Please refer instruction **A** at the end)

PAN

Please enclose a duly attested copy of your PAN Card

	Prefix	First Name	Middle Name	Last Name
Name* (same as ID proof)				
Maiden Name (If any*)				
Father / Spouse Name*				
Mother Name*				

Date of Birth* D D – M M – Y Y Y Y

Photo

Gender* ☐ M- Male ☐ F- Female ☐ T-Transgender

Marital Status* ☐ Married ☐ Unmarried ☐ Others

Citizenship* ☐ IN- Indian ☐ Others – Country _____ Country Code

Residential Status* ☐ Resident Individual ☐ Non Resident Indian ☐ Foreign National ☐ Person of Indian Origin

Occupation Type* ☐ S-Service ☐ Private Sector ☐ Public Sector ☐ Government Sector ☐ O-Others ☐ Professional ☐ Self Employed ☐ Retired ☐ Housewife ☐ Student ☐ B-Business ☐ X-Not Categorised

Signature/ Thumb Impression

2 ☑ _____

2. Proof of Identity (PoI)* (for PAN exempt Investor or if PAN card copy not provided) (Please refer instruction C & K at the end)

(Certified copy of <u>any one</u> of the following Proof of Identity [PoI] needs to be submitted)

☐ A- Passport Number — Passport Expiry Date D D – M M – Y Y Y Y

☐ B- Voter ID Card

☐ D- Driving Licence — Driving Licence Expiry Date D D – M M – Y Y Y Y

☐ E- Aadhaar Card

☐ F- NREGA Job Card

☐ Z- Others (any document notified by the central government) Identification Number

3. Proof of Address (PoA)*

☐ 3.1 Current / Permanent / Overseas Address Details (Please see instruction D at the end)

Address

Line 1*

Line 2

Line 3 City / Town / Village*

District* Zip / Post Code* State/UT Code as per Indian Motor Vehicle Act, 1988

State/UT* Country* Country Code as per ISO 3166

Address Type* ☐ Residential / Business ☐ Residential ☐ Business ☐ Registered Office ☐ Unspecified

(Certified copy of <u>any one</u> of the following Proof of Address [PoA] needs to be submitted)

Proof of Address*

☐ Passport Number — Passport Expiry Date D D – M M – Y Y Y Y

☐ Voter ID Card

☐ Driving Licence — Driving Licence Expiry Date D D – M M – Y Y Y Y

☐ Aadhaar Card

☐ NREGA Job Card

☐ Others (any document notified by the central government) Identification Number

☐ 3.2 Correspondence / Local Address Details* (Please see instruction E at the end) ☑ Tick if same as current address

Same as Current / Permanent / Overseas Address details (In case of multiple correspondence / local addresses, please fill 'Annexure A1', Submit relevant documentary proof)

Line 1*

Line 2

Line 3 City / Town / Village*

District* Zip / Post Code* State/UT Code as per Indian Motor Vehicle Act, 1988

State/UT* Country* Country Code as per ISO 3166

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4. Contact Details (All communications will be sent on provided Mobile no. / Email-ID) (Please refer instruction **F** at the end)

Mobile ☐☐–☐☐☐☐☐☐☐☐ Tel. (Off) ☐☐☐☐–☐☐☐☐☐☐☐ Tel. (Res) ☐☐☐☐–☐☐☐☐☐☐☐

Email ID ☐☐☐☐☐☐☐☐

INSTRUCTIONS / CHECK LIST FOR FILLING KYC FORM

1. Self attested copy of AADHAR cards is mandatory for all individual clients.
2. Copies of all the documents submitted by the applicant should be self -attested and accompanied by originals for verifications. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
3. If any proof of identity or address is in a foreign language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent address are different, then proofs for both have to be submitted.
6. Non -resident and foreign nationals, (Not allowed to open Repository account as pp WDRA guideline). In case of Merchant Navy NRI'S Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
7. Minor, (Not allowed to open Repository account as per WDRA guideline).
8. Politically Exposed Person (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreig country ,e.g. Heads of States or of Governments , Seniors politicians, Senior Government /Judicial/Military officers, senior executives of the state owed corporations, important political party officials etc.

B. PROOF OF IDENTITY (POI) : List of documents admissible as proof of identity:

1. PAN card with photograph .This is a mandatory requirement for all applicants except those who are specifically exempt from obtaining PAN (listed in Section D).
2. Unique identification Number (UID) (Aadhar)/Passport/Voter ID card/Driving license.
3. Identity card/documents with applicant's photo, issued by any of the following: Central/State Government and its department, Statutory /Regulatory Authorities, Public sector undertakings, Scheduled commercial bank, Public Financial institutions, College affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, bar council etc .., to their members and credit cards/Debits cards issued by Banks.

C. PROOF OF ADDRESS (POA): **List of documents admissible as proof of address: (*Documents having an expiry date should be valid on the date of submission.)**

1. Passport / Voters Identity Card / Ration Card / registered lease or sale agreement of residence / Driving License / Flat maintenance bill / Insurance copy.
2. Utility bills like Telephone Bill (Only land line), Electricity bill or Gas Bill -Not more than 3 months old.
3. Bank Account Statement /passbook-Not more than 3 months old.
4. Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
5. Proof of address issued by any of the following Bank managers of Scheduled Commercial Banks/Scheduled Co operative Bank / Multinational Banks / Gazetted Office / Notary public / Elected representative to the Legislative assembly/Parliament/Documents issued to Govt. or Statutory Authority.
6. Identity Card/documents with address, issued by any of the following: Central/State government and its department Statutory / Regulatory Authorities Public sector undertakings, Scheduled Commercial Banks, Public Financial Institutions, and Colleges affiliated to universities and Professional Bodies such as ICAI, ICWAI, ICSI Bar council etc. to their members.
7. The proof of address in the name of the Spouse may be accepted.

D. EXEMPTIONS/CLARIFICATIONS TO PAN

(*Sufficient documentary evidence in support of such claims to be collected.)

1. In case of transactions undertaken on behalf of centra Government and/or State Government by Officials appointed by Courts e.g. Official liquidator, Court receiver etc.
2. Investors residing in the state of Sikkim.
3. UN entities /multilateral agencies exempt from paying taxes/ filling tax returns in India.
4. SIP of Mutual Funds up to R s 50,000/-p.a.
5. In case of institutional clients, namely, Flls, MFs, VCFs, FVCIs, Scheduled commercial Banks, Multilateral and Bilateral development financial institutions, state industrial development corporations, insurance companies registered with IRDA and public Financial Institutions as defined under section 4A of the companied Act, 1956. Custodians shall verify the pan card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

E. LIST OF PEOPLE AUTHORIZED TO ATTEST THE DOCUMENTS:

1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial /Co -operative Bank or Multinational Banks (Name, Designation & Seal Should be fixed on the copy).

Please submit the KYC documents on A4 Size Paper Only

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A. BANK ACCOUNT(S) DETAILS

Bank Name																															
Branch Address																															
											CITY													PIN							
Bank Account No.																															

Type:		Saving		Current		Overdraft																									
MICR Number																	IFSC Code														

B. REPOSITORY ACCOUNT (S) DETAILS

Repository Participant Name	INDIRA SECURITIES PRIVATE LIMITED

Beneficiary ID (BO ID)	CCRL														NERL																
Beneficiary Name																															
DP ID	1	2	0	1	7	0	0	0																							

Income Range*	**Income Range per annum:**
	☐ Up to Rs. 1,00,000 ☐ Rs. 1,00,001 to Rs 5,00,000 ☐ Rs. 5,00,001 to Rs 10,00,000
	☐ Rs 10,00,001 to Rs 25,00,00,000 ☐ Rs. 25,00,001 to Rs 10,00,000 ☐ More than Rs 10,00,001

DOCUMENTS										
PAN.*										
DATE OF BIRTH*	D	D	M	M	Y	Y	Y	Y		

PROOF OF IDENTITY TO BE PROVIDED BY APPLICANT.

Please Submit any one of the following documents & Tick (✓) against the document attached:

☐ PAN ☐ UID (Aadhar) ☐ any other proof of address document (as listed in check list for filling KYC form)

Please specify

PROOF OF ADDRESS TO BE PROVIDED BY APPLICANT. (Not More than 3 months old)

Please Submit any one of the following documents & Tick (✓) against the document attached:

☐ Latest Bank Account Statement ☐ Latest Telephone Bill (Only Land Line) ☐ Latest Electricity Bill

☐ Registered Lease/Sale Agreement of office premises ☐ Any other proof of address document (as listed in check list for filling KYC form)

Please specify

* Not more than 3 Months old.

Disclamer: Any change to be informed to Repository Participant within 7 days.

Details of Authorized Representative for Deposit / Withdrawl	
Authorized Person (Specific person/s only)*	**Aadhar No.**
Or - Anyone apart from list (To mark if opted to keep any other person not specified above)	
If anyone also tick box is not marked and Specific person/s field is left blank, it will be default anyone also	

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DECLERATION

We have received and read the Rights and Obligations document and terms & conditions and agree to abide by and be bound by the same and by the Bye Laws of CCRL as are in force time to time. We declare that the particulars given by us above are true and to the best of our knowledge as on the date of making this application. I agree and undertake to intimate the RP any change (s) in the details / particulars mentioned by me in this form. We further agree that any false / misleading information given by us or suppression of any material information will render our account liable for termination and sitable action.

Place: _____ Date: _____

(dd / mm/ yy)

Name*	Please affix recent passport size photograph and sign across it*
Signature*	

Signature should be preferable in blue ink.
(In case of more authorised signatories, please add annexure)

HOLDER DETAILS

Client Name*	

CLIENT TYPE*	CLIENT SUB TYPE*
☐ Individual	☐ Individual ☐ Eligible Foreign Entities (EFEs)

Account Statement Requirement (If not marked, the default option would be 'Electronic' and statement frequency would be 'Quarterly')	**Stement Type*** ☐ Physical ☐ Electronic **Statement Frequency*** ☐ Monthly ☐ Quarterly ☐ Half Yearly ☐ Annually

Auto Credit* - We instruct the RP to receive each and every credit in my / our account (If not marked, the default option would be 'No')	**(Automatic Credit)** ☐ Yes ☐ No
STATEMENT FLAG* (If not marked, the default option would be 'Yes')	☐ Yes ☐ No

----------(Please Tear Here)----------

Acknowledgement Receipt

Application No.:_____ **Date:**_____

We hereby acknowledge the receipt of the Account Opening Application Form:

NAME OF FIRM / COMPNAY: _____

Indira Securities Private Limited Indore (M.P.)

For: Indira Securities Pvt. Ltd

Rights and obligations between Depositor / Client and RP

General Clause

1. The Commodity Owner and the Repository Participant (RP) shall be bound by the Circulars / Notifications / Guidelines issued there under, Bye Laws and Business Rules/Operating Instructions issued by the Repositories and relevant notifications of WDRA and Government Authorities as may be in force from time to time.
2. The RP shall open/activate Client (CO) account of a commodity owner in the Repository system only after receipt of complete Account opening form, KYC and supporting documents as specified by WDRA from time to time.

Commodity Owner information

3. The RP shall maintain all the details of the Commodity owner(s) as mentioned in the account opening form, supporting documents submitted by them and/or any other information pertaining to the Commodity owner confidentially and shall not disclose the same to any person except as required by any statutory, legal or regulatory authority in this regard.
4. The Commodity Owner shall immediately notify the RP in writing, if there is any change in details provided in the account opening form as submitted to the RP at the time of opening the Client (CO) account or furnished to the RP from time to time.

Fees/Charges/Tariff

5. The Commodity Owner shall pay such charges to the RP for the purpose of holding and transfer of Commodities in eNWR / eNNWR form and for availing Repository services as may be agreed to from time to time between the RP and the Commodity Owner as set out in the Tariff Sheet provided by the RP. It may be informed to the Commodity Owner that "no charges are payable for opening of Client (CO) accounts"
6. In case of Basic Services Client (CO) Accounts, the RP shall adhere to the charge as laid down under the relevant WDRA and/or Repository circulars/directions/notifications issued from time to time.
7. The RP shall not increase any charges/tariff agreed upon unless it has given a notice in writing of not less than thirty days to the Commodity Owner regarding the same.
8. Deposit (eNNWR/eNWR): The Commodity Owner shall have the right to get the commodity, which have been admitted on the Repositories System, Deposit in the form of eNNWR / eNWR and manner laid down under the Bye Laws, Business Rules and Operating Instructions of the Repositories.

Separate Accounts

9. The RP shall open separate accounts in the name of each of the Commodity owners and Commodity of each Commodity owner shall be segregated and shall not be mixed up with the Commodity of other Commodity owners and/or RP's own Commodities held in eNNWR / eNWR form.
10. The RP shall not facilitate the Commodity Owner to create or permit any pledge and /or hypothecation or any other interest or encumbrance over all or any of such Commodities submitted for eNNWR/eNWR Generation and/or held in Client (CO) account except in the form and manner prescribed by Repositories and Bye-Laws/Operating Instructions/Business Rules of the Repositories.

Transfer of Commodities

11. The RP shall affect transfer to and from the Client (CO) accounts of the Commodity Owner only on the basis of an order, instruction, direction or mandate duly authorized by the Commodity Owner and the RP shall maintain the original documents and the audit trail of such authorizations.
12. The Commodity Owner reserves the right to give standing instructions with regard to the credition of Commodity in his Client (CO) account in the form of eNNWR /eNWR and the RP shall act according to such instructions.

Statement of account

13. The RP shall provide statements of accounts to the Commodity Owner in such form and manner and at such time as agreed with the Commodity Owner and as specified by SEBI/Repository in this regard.
14. However, if there is no transaction in the Client (CO) account, or if the balance has become Nil during the year, the RP shall send one physical statement of holding annually to such COs and shall resume sending the transaction statement as and when there is a transaction in the account.
15. The RP may provide the services of issuing the statement of Client (CO) accounts in an electronic mode if the Commodity Owner so desires. The RP will furnish to the Commodity Owner the statement of Client (CO) accounts under its digital signature, as governed under the Information Technology Act, 2000. However if the RP does not have the facility of providing the statement of Client (CO) account in the electronic mode, then the RP shall be obliged to forward the statement of Client (CO) accounts in physical form.
16. In case of Basic Services Client (CO) Accounts, the RP shall send the transaction statements as mandated by SEBI, Authority and/or Repository from time to time.

Manner of Closure of Client (CO) account

17. The RP shall have the right to close the Client (CO) account of the Commodity Owner, for any reasons whatsoever, provided the RP has given a notice in writing of not less than thirty days to the Commodity Owner as well as to the Repository. Similarly, the Commodity Owner shall have the right to close his/her Client (CO) account held with the RP provided no charges are payable by him/her to the RP. In such an event, the Commodity Owner shall specify whether the balances in their Client (CO) account should be transferred to another Client (CO) account of the Commodity Owner held with another RP or to withdraw the

Commodity (eNWR / eNNWR) balances held.

18. Based on the instructions of the Commodity Owner, the RP shall initiate the procedure for transferring such Commodity (eNWR / eNNWR) balances or withdraw such Commodity (eNWR / eNNWR) balances within a period of thirty days as per procedure specified from time to time by the Repository. Provided further, closure of Client (CO) account shall not affect the rights, liabilities and obligations of either the Commodity Owner or the RP and shall continue to bind the Parties to their satisfactory completion.

Default in payment of charges

19. In event of Commodity Owner committing a default in the payment of any amount provided in Clause 5 & 6 within a period of thirty days from the date of demand, without prejudice to the right of the RP to close the Client (CO) account of the Commodity Owner, the RP may charge interest at a rate as specified by the Repository from time to time for the period of such default.

20. In case the Commodity Owner has failed to make the payment of any of the amounts as provided in Clause 5 & 6 specified above, the RP after giving two days notice to the Commodity Owner shall have the right to stop processing of instructions of the Commodity Owner till such time he makes the payment along with interest, if any.

Liability of the Repository

21. As per Warehousing (Development and Regulation) Act, 2007, without prejudice to the provisions of any other law for the time being in force, any loss caused to the Commodity owner due to the negligence of the Repository or the RP, the Repository shall indemnify such Commodity owner.

21.1 Where the loss due to the negligence of the RP under Clause (1) above, is indemnified by the Repository, the Repository shall have the right to recover the same from such RP.

Freezing/ De-freezing of accounts

22. The Commodity Owner may exercise the right to freeze/ de-freeze his/her Client (CO) account maintained with the RP in accordance with the procedure and subject to the restrictions laid down under the Bye Laws and Business Rules/Operating Instructions.

23. The RP or the Repository shall have the right to freeze/de-freeze the accounts of the Commodity Owners on receipt of instructions received from the Authority, any other regulator or court or any statutory authority.

Redressal of Investor grievance

24. The RP shall redress all grievances of the Commodity Owner against the RP within a period of thirty days from the date of receipt of the complaint.

Authorized representative

25. Commodity Owner shall, along with the account opening form, furnish to the RP, a list of officials authorized by her/ him/them, who shall represent and interact on her /his/ their behalf with the RP. Any change in such list including additions, deletions or alterations thereto shall be forthwith communicated to the Participant.

Law and Jurisdiction

26. In addition to the specific rights set out in this document, the RP and the Commodity owner shall be entitled to exercise any other rights which the RP or the Commodity Owner may have under the Rules, Bye Laws and Regulations of the respective Repository in which the Client (CO) account is opened and circulars/notices issued there under or Rules and Regulations of WDRA.

27. The provisions of this document shall always be subject to Government notification, rules, regulations, guidelines and circulars/ notices issued by WDRA and Rules, Regulations and Bye-laws of the relevant Repository, where the Commodity Owner maintains his/ her account, that may be in force from time to time.

28. The Commodity Owner and the RP shall abide by the arbitration and conciliation procedure prescribed under the Bye-laws of the Repository and that such procedure shall be applicable to any disputes between the RP and the Commodity Owner.

29. Words and expressions which are used in this document but which are not defined herein shall unless the context otherwise requires, have the same meaning as assigned thereto in the Rules, Bye-laws and Regulations and circulars/ notices issued there under by the Repository and /or WDRA.

30. Any changes in the rights and obligations which are specified by WDRA/Repositories shall also be brought to the notice of the Client (CO)s at once.

31. If the rights and obligations of the parties hereto are altered by virtue of change in Rules and regulations of WDRA or Bye-laws, Rules and Regulations of the relevant Repository, where the Commodity Owner maintains his/her account, such changes shall be deemed to have been incorporated herein in modification of the rights and obligations of the parties mentioned in this document.

Indira Securities Pvt. Ltd.

204, 205, Amardarshan Building, 28/2 Old Palasia, Indore - 452018 (M.P.)

DEPOSITOR / CLIENT - TM - CM UPDATE FORM

RP ID - 12017000

Request No.*		Request Date*	D	D	M	M	Y	Y	Y	Y

(Please fill all the details in **Block Letters in English**)

RB ID*	1	2	0	1	7	0	0	0	Depositor/Client ID*									
Depositor / Client Name																		

CE CC ID*	CE Name	CC Name	UCC*	TM ID*	TM Name	CM ID*	CM NAME

Authorised Signatory

	Sole/First Authorised Signatory	Second Authorised Signatory	Third Authorised Signatory
Name*			
Signature*			

(Signature should be preferable in blue ink.)

(In case of more authorised signatories, please annexure)

----------(Please Tear Here)----------

Acknowledgement Receipt

Received Depository / Client - CM Linking request as per deetails given below:

Application No.									Date	D	D	M	M	Y	Y	Y	Y
RB ID	1	2	0	1	7	0	0	0	Depositor/Client ID									
Name of Depositor / Client ID																		



For: Indira Securities Pvt. Ltd.

Signature of the Authorised Signatory

Indira Securities Pvt. Ltd.

204, 205, Amardarshan Building, 28/2 Old Palasia, Indore - 452018 (M.P.)

TM ACCOUNT MASTER CREATION FORM

RP ID - 12017000

Request No.*		Request Date*	D	D	M	M	Y	Y	Y	Y

Please fill all the details in **Block Letters** in English

RP ID*		Depositor/Client ID*	
Depositor/Client Name			
CE CC ID*			

CE ID*		CE Name	
CC ID*		CC Name	

TM ID*		TM Name	
CM ID*		CM Name	

Authorised Signatory

	Sole/First Authorised Signatory	Second Authorised Signatory	Third Authorised Signatory
Name			
Signature*			

(Signature should be preferably in blue ink).

(In case of more authorised signatories, please add annexure)

---------- (Please Tear Here) ----------

Acknowledgement Receipt

Received TM - CM Linking request as per details given below:

			D	D	M	M	Y	Y	Y	Y
RP ID*		Depositor/Client ID*								
Depositor/Client Name										
TM Name										

For: Indira Securities Pvt. Ltd.

Signature of the Authorised Signatory

Indira Securities Pvt. Ltd.

204, 205, Amardarshan Building, 28/2 Old Palasia, Indore - 452018 (M.P.)

DEPOSIT REQUEST FORM
RP ID - 12017000

(To be filled up by the Repository Participant)

Deposit Request No.*				Request Date*	D	D	M	M	Y	Y	Y	Y		
Deposit Type*	☐ General ☐ Exchange (For Exchange Deliverable Deposit, type 'Exchange' to be selected)													
Exchange Id* (Required only when deposit is meant for exchange)			Exchange Name				UCC							

(To be filled by the depositor / client. Please fill all the details in BLOCK LETTERS in English)
I/We request you to deposit the enclosed commodity in my / our name into my/our commodity account.

RP ID	1	2	0	1	7	0	0	0	Depositor/Client ID									
Depositor / Client ID																		

WSP ID*		WSP Name	
WH ID*		WH Name	
Negotiable Receipt* ☐ (If not market, the default option would be'No')		Assaying Required* Yes ☐ No ☐ (If not marked, the default option would be 'No')	
Commodity Code*		Commodity Name	
No. of Packages or Bags*		Bag UOM*	Quintal
Bag Size (in Quintal)*		Qty UOM*	Quintal
Bag Type*	☐ PLASTIC ☐ JUTE ☐ BALES ☐ DRUM ☐ PP Please Specify_____	Quality Standard* (As per Agmark Standars)	Agmark Standars

Signature of Clients (s)*

	Sole/First Authorised Signatory	Second Authorised Signatory	Third Authorised Signatory
Name			
Signature as per RP Records			

For: Indira Securities Pvt. Ltd.
Signature of the Authorised Signatory

---------- (Please Tear Here) ----------

Acknowledgement Receipt

DRF No.									Date	D	D	M	M	Y	Y	Y	Y	
RP ID	1	2	0	1	7	0	0	0	Depositor/Client ID									
Commodity Name																		
Quantity																		
UOM																		
WSP ID																		
WH ID																		

We hereby acknowledge the receipt of commodity / documents, in respect of the above commodity for deposit subject to veridication.

For: Indira Securities Pvt. Ltd.
Signature of the Authorised Signatory

Indira Securities Pvt. Ltd.

204, 205, Amardarshan Building, 28/2 Old Palasia, Indore - 452018 (M.P.)

DEPLEDGE REQUEST FORM

RP ID - 12017000

☐ **Depledge by Pledgor** ☐ **Depledge by Pledgee**

Please fill in the details in **Block Letters** in English

Pledge closure Request No.*									Execution Date*	D	D	M	M	Y	Y	Y	Y
Request Date*	D	D	M	M	Y	Y	Y	Y	Pledge Closure Date*	D	D	M	M	Y	Y	Y	Y
Pledge Sequence No.*																		

I/We request you to set up a Depledge request on my / our behalf. I/We have read and understaood the WDRA Act, Rules and Regulations, CCRL Bye Laws and Business Rules in relation to Depledge of commodities and I/We agree to abide by and be bound by the WDRA Act, Rules and Regulations, CCRL Bye Laws and Business Rules as are in force from time to time for such Depledge.

Pledgor's Details																	
RP ID	1	2	0	1	7	0	0	0	Client/Depositor ID*								
Pledgor Name																	

Pledgee's Details					
☐ CC	CC ID*		CC Name		Inter Repository
RP ID*			RP Name		
Pledgee client ID*			Pledgee Client Name		

WH ID.*		WSP Name	
Commodity Code*		Commodity Name	

eNWR Number	Pledge number of Bags/ Packs	Number of Bags / Packs to De-pledged	Accepted / Rejected by Pledge (To be filled by pledge only)

Attach an annexure duly signed by the account bolder (s), if the space above is insufficient.
I/We declare that the above particulars given by me/ us above are true to the best of my/ our knowledge.

To be filled and signed in case of Set-up of Depledge by Pledgor client.

Signature of the Pledger*

Sole / First Authorised Signatory	**Sole / Fist Authorised Signatory**	**Sole / First Authorised Signatory**

To be filled and signed in case of Set-up of Depledge by Pledgee client.

Signature of the Pledgee*

Sole / First Authorised Signatory	**Sole / Fist Authorised Signatory**	**Sole / First Authorised Signatory**

(Please Tear Here)

Acknowledgement Receipt

Received de-pledge request form :

Pledge closure Request No.		Request Date	D	D	M	M	Y	Y	Y	Y

For: Indira Securities Pvt. Ltd.
Signature of the Authorised Signatory

Indira Securities Pvt. Ltd.

204, 205, Amardarshan Building, 28/2 Old Palasia, Indore - 452018 (M.P.)

Conversion Form

RP ID - 12017000

☐ **Physical WR to eNNWR** ☐ **PHYSICAL WR to eNWR** ☐ **eNNWR to eNWR**

(To be filled up by the Repository Participant)

Conversion Request No.*							Request Date*	D	D	M	M	Y	Y	Y	Y
Deposit Type*	☐General ☐Exchange (For Exchange Deliverable Deposit, type 'Exchange' to be selected)														
Exchange Name															
Exchange Id* (Required only when deposit is meant for exchange)							Exchange Name					UCC			

(To be filled by the Co. Please fill all the details in **BLOCK LETTERS** in English)

I/We request you to convert WR to eNWR/eNNWR for enclosed commodity in my/our name into my / our commodity account.

RP ID*	1	2	0	1	7	0	0	0	Client ID*							
Client ID																

WSP ID*		WSP Name	
WH ID*		WH Name	
Negotiable Receipt* ☐ (If not market, the default option would be'No')		Assaying Required* Yes ☐ No ☐ (If not marked, the default option would be 'No')	
Commodity Code*		Commodity Name	
No. of Packages or Bags*		Bag UOM*	Quintal
Bag Size (In Quintal)*		Qty UOM*	Quintal
Bag Type*	☐ PLASTIC ☐ JUTE ☐ BALES ☐ DRUM ☐ PP Please Specify_____	Quality Standard* (As per Agmark Standars)	Agmark Standars

Exchange Id* (In case of Exchange Deposit)		UCC	

Signature of Clients (s)*

	Sole/First Authorised Signatory	Second Authorised Signatory	Third Authorised Signatory
Name			
Signature as per RP Records			

I/We agree to abide by and be bound by the WDRA Act, Rules and Regulations, CCRL Bye Laws and Business Rules as are in force from time to time for such conversion.

For: Indira Securities Pvt. Ltd.
Signature of the Authorised Signatory

(Please Tear Here)

Acknowledgement Receipt

Conversion Request No.									Date	D	D	M	M	Y	Y	Y	Y
RP ID	1	2	0	1	7	0	0	0	Depositor/Client ID								
Depositor / Client ID																	
Depositor / Client Name																	
Commodity Name																	
Quantity																	
UOM																	
WSP ID																	
WH ID																	

We hereby acknowledge the receipt of commodity / documents, in respect of the above commodity for eNWR to eNNWR deposit subject to verification.

For: Indira Securities Pvt. Ltd.
Signature of the Authorised Signatory

Indira Securities Pvt. Ltd.

204, 205, Amardarshan Building, 28/2 Old Palasia, Indore - 452018 (M.P.)

RP ID 12017000

Consolidated Transfer Transaction Instruction Form

(To be filled in duplicate)

☐ **Delivery** ☐ **Receipt**

Request No.: # _____

I / We request you to debit / credit my / our account as under: - | Date: - D D M M Y Y Y Y Y Y

RPID 1 2 0 1 7 0 0 0 | Client ID | Client Name

Sr. No.	eNWR No.	Commodity Id and Name	No of Bags	QUANTITY		WSP ID	WH ID
				In figures	In words		
1							
2							
3							
4							
5							
Total Instructions Issued (In words only) →							

If transfers from CO (Investor) account to another CO (Investor) account and NOT RELATED to Exchange Transactions: -
Please specify reason (as given below):-
• Gift • Consideration:-
Please mention consideration amount in Rs._____

TMID (Applicable for Early Pay-in and Normal Pay-in)	CMID (Applicable for Early Pay-in and Normal Pay-in)	Execution Date (Not Applicable for Early Pay-in and Normal Pay-in)
		D D M M Y Y Y Y

-: Fill the relevant columns :-

Instruction Type →	Account Transfer - With in CCRL (Transaction)		Account Transfer - Outside CCRL (Inter Repository)		Market Trades (Settlements)	
Settlement Details →	CO-CO	CO-CM, CM-CO, CM-CM, CM-TM, TM -CO	CO-CO	CO-CM, CM-CO, CM-CM, CM-TM, TM -CO	Early Pay-in	Normal Pay-in
Exchange Name →	OPTIONAL		OPTIONAL			
Settlement / Market Type →	OPTIONAL		OPTIONAL			
Settlement Number →						
Counter RP ID / CM ID →					NOT APPLICABLE	NOT APPLICABLE
Counter Client ID →					NOT APPLICABLE	NOT APPLICABLE
Counter CO / CM Name →						
Counter Settlement Details		CM-CM		CM-CM		
Exchange Name →	NOT APPLICABLE		NOT APPLICABLE		NOT APPLICABLE	NOT APPLICABLE
Settlement / Market Type →	NOT APPLICABLE		NOT APPLICABLE		NOT APPLICABLE	NOT APPLICABLE
Settlement Number →	NOT APPLICABLE		NOT APPLICABLE		NOT APPLICABLE	NOT APPLICABLE

-: Blank & Signed Delivery Instruction Slips should not be left with your RP/Broker :-

Signature of First / Sole Holder	Signature of Second Holder	Signature of Third Holder

-: For RPs office use only :-

Internal Ref. No.		Signature Verified By		Transaction Entered By	

I/We agree to abide by and be bound by the WDRA Act, Rules and Regulations, CCRL Bye Laws and Business Rules as are in force from time to time for such Transfer.

Indira Securities Pvt. Ltd.

204, 205, Amardarshan Building, 28/2 Old Palasia, Indore - 452018 (M.P.)

RP ID - 12017000

FREEZE / UNFREEZE REQUEST FORM

Please fill all the details in **Block Letters** in English

Request No.*				Request Date*	D D M M Y Y Y Y
Freeze* / Unfreeze*	☐ Debit	☐ Credit	☐ Both	☐ eNNWR No	☐ eNWR No.

(For Freeze Only)

WSP ID*		Commodity Code*	
WH ID*		Commodity Name	
Freeze From Date*	D D M M Y Y Y Y	RP ID	
Freeze To Date*	D D M M Y Y Y Y	Client ID	

SR. NO.	eNWR No.	Commodity ID and Name	No. of Bags	QUANTITY	
				In figures	In words
1					
2					
3					
4					
5					
Total Instructions Issued (In words only)					

Attach an annexure duly signed by the account holder(s), if the space above is insufficient.

For Unfreeze

FrEeze Reference No.*					
SR. NO.	eNWR No.	Commodity ID and Name	No. of Bags	QUANTITY	
				In figures	In words
1					
2					
3					
4					
5					
Total Instructions Issued (In words only)					

Attach an annexure duly signed by the account holder(s), if the space above is insufficient.

I/We declare that the particulars given by me/us above are true to the best of my/our knowledge. I/We agree to abide by and be bound by the WDRA Act, Rules and Regulations, CCRL Bye Laws and Business Rules as are in force from time to time for such freeze/unfreeze request.

Name & Signature of the Account Holder(s)

	Sole/First Authorised Signatory	**Second Authorised Signatory**	**Third Authorised Signatory**
Name			
Signature			

For: Indira Securities Pvt. Ltd.
Signature of the Authorised Signatory

(Please Tear Here)

Acknowledgement Receipt

Application No.									Date	D D M M Y Y Y Y							
Received Freeze / Unfreeze request from:																	
RP ID	1	2	0	1	7	0	0	0	Client ID								
Client Name																	

For: Indira Securities Pvt. Ltd.
Signature of the Authorised Signatory

Indira Securities Pvt. Ltd.

204, 205, Amardarshan Building, 28/2 Old Palasia, Indore - 452018 (M.P.)

ACCOUNT CLOSURE REQUEST FORM

Application No.		Date	D D M M Y Y Y Y
Closure Intiatived by	☐ Depositer/Client ☐ RP ☐ CCRL		

To,

Indira Securities Pvt. Ltd.

204, 205, Amardarshan Building, 28/2 Old Palasia, Indore - 452018 (M.P.)

Dear Sir / Madam,
I/We the commodity Owner (Client) / Clearing Member request you to close my/our account with you from the date of this appliation. The details of my/our account are given below:

Account Holder's Details

RP ID	1	2	0	1	7	0	0	0		**Client ID**								
Client Name*																		
Address for Correspondence																		
City*			State*				Pin*											

Details of remaining commodity balances in the account (if any)																		
Reasons for Closing the Account																		
Balance remaining in the account (if any) to be :																		
☐ Partly withdrawn and partly transferred. ☐ Withdrawn																		
☐ Transferred to another account (Number given below) ☐ Not applicable																		
RP ID										CLIENT ID								
Balance present in account for (To be filled by FP, if applicable)	☐ Pending for Deposit ☐ Pledged ☐ Pending for Wighdrawal ☐ Frozen ☐ Lock-in																	

DECLARATION : In case of Account Closure due to SHIFTING OF ACCOUNT:
I/We declare and confirm that all the transactions in my/our commodity account are true/authentic.

	Sole/First Authorised Signatory	Second Authorised Signatory	Third Authorised Signatory
Name			
Signature as per RP Records			

*If R P or CCRL initiates account closure, Signature(s) of account holder(s) not required.

---------- (Please Tear Here) ----------

Acknowledgement Receipt

Application No. ..... **Date : .....**

We here by acknowledge the receipt of your instruction for Closing the following Account subject to verification :

RP ID*	1	2	0	1	7	0	0	0		Client ID*								
Client Name																		
Reason for Closure																		

Instructions to Clients(s)

- Submit a duly-filled withdrawal request forrit the balances are to be withdrawn
- Submit a duly-filled instruction Slip (off market instruction slip) if the balances are to be transferred to another Account. This requirement is not applicable in the case of **"SHIFTING OF ACCOUNT".**
- Submit a duly-filled board resolution with closure request of non-individual account.

For: Indira Securities Pvt. Ltd.
Signature of the Authorised Signatory

Indira Securities Pvt. Ltd.

204, 205, Amardarshan Building, 28/2 Old Palasia, Indore - 452018 (M.P.)

TRANSMISSION FORM

Application No.		Date	D	D	M	M	Y	Y	Y	Y

Please fill all the details in **Block Letters** in English

To,
Indira Securities Pvt. Ltd.
204, 205, Amardarshan Building, 28/2 Old Palasia, Indore - 452018 (M.P.)

Dear Sir / Madam,

I/We, the undersigned, being the (tick whichever is applicable)

☐ Executor/s of the will ☐ Successor ☐ Legal heir/is ☐ Nominee ☐ Survivor of HUF ☐ Survivor of Partnership

of Mr./Mrs./Ms. _____. who has expired.

Account Number of the deceased client:

RP ID	1	2	0	1	7	0	0	0		Client ID								

The Original Death Certificate / a copy of the death certificate, duly notarized or attested under seal by a Gazetted Officer (strike out what is not applicable), is attached herewith
Kindly transmit all commodity holdings in the deceased client account mentioned above to the client(s) account mentioned below:

ACCOUNT NUMBER:

Sr. No.	Name(s) of the surviving holder(s) of HUF/ Successor(s) / Legal heir(s) /Nominee (s) / Executor/s of the will / Survivor of Partnership	RP ID	Client ID

Details of Transmission:

Sr. No.	Commodity Name	eWR / eNWR	WR No.	Quantity to be transmitted	Quantity UOM

Attach an annexure duly signed by the surviving holder(s) / Successor(s) / Legal heir(s) /Nominee (s) / Executor/s of the will, if the space above is insufficient.

Sr. No.	Name(s) of the surviving holder(s) / Successor(s) / Legal heir(s) /Nominee (s) / Executor/s of the will / Survivor of Partnership	Signature(s)

Documents Submitted

- ☐ Original Death Certificate
- ☐ Copy of Death Certificate (dulu notalized / attested under seal by a Gazetted Officer)
- ☐ Succession Certificate
- ☐ Probate of the Will
- ☐ Court Decree
- ☐ Indemnity
- ☐ Affidavit
- ☐ No objection certificate (s)

Documents subject to verification.

Note:
1. This request form should be signed by the surviving joint holder(s)/legal heir(s)/legal representative(s)/nominee/all surviving members of the HUF, as the case may be.
2. *Strike off whichever is not applicable.

----------(Please Tear Here)----------

Acknowledgement Receipt

Application No.		Date	D	D	M	M	Y	Y	Y	Y

We hereby acknowledge receipt of the following instructions for transmission, as per the details given in the Transmission Form:

Account number of:

Sr. No.	Name(s) of the surviving holder(s) / Successor(s) / Legal heir(s) / Nominee (s) / Executor/s of the will / Survivor of Partnership	RP ID	Client ID

Indira Securities Private Limited Indore (M.P.)

For: Indira Securities Pvt. Ltd.
Signature of the Authorised Signatory

Indira Securities Pvt. Ltd.

204, 205, Amardarshan Building, 28/2 Old Palasia, Indore - 452018 (M.P.)

RECTIFICATION OF ERRORS FORM

Date	D	D	M	M	Y	Y	Y	Y

To,
Indira Securities Pvt. Ltd.
204, 205, Amardarshan Building, 28/2 Old Palasia, Indore - 452018 (M.P.)

Dear Sir / Madam,

I/We the commodity Owner (Client) request your rectification of errors in my/our WR/eNWR records as given below:

RP ID	Client ID	Client Name
WH ID	**WR Types**	**WR No.**

DETAILS (Please specify change of Quantity, wh, WSP, No. of bags, client etc.)	**Existing Details**	**Details to be rectified**	**Reason for Rectification**

	Sole/First Authorised Signatory	Second Authorised Signatory	Third Authorised Signatory
Name			
Signature as per RP Re-conds			

(Please Tear Here)

Acknowledgement Receipt

We here by acknowledge the receipt of your request for rectification for error for eWR / eNWR recods of following account subject to verification:

Date	D	D	M	M	Y	Y	Y	Y

RP ID*	1	2	0	1	7	0	0	0		Client ID*								
Client Name																		

For: Indira Securities Pvt. Ltd.
Signature of the Authorised Signatory

Instructions to Clients(s)

For errors committed by Repository participant (RP), if the following conditions are fulfilled, then the RP can rectify the errors:

- The receipt/s in question are fully held by the transferee Commodity Owner. After the physical deposit of commodities, it is not transferred to any other holder either partially or fully.
- The receipt/s in question are fully unencumbered i.e. they are not pledged either partially or fully to any Lender/ Pledgee/ Financial Institution.
- The receipt/s in question are not in “freeze” status partially or fully. Or in other words, they are free balances held by the transferee Commodity Holder of the goods.

Indira Securities Pvt. Ltd.

204, 205, Amardarshan Building, 28/2 Old Palasia, Indore - 452018 (M.P.)

RE-ASSAYING REQUEST FORM

(To be filled up by the Depositor / Client

Date	D	D	M	M	Y	Y	Y	Y

(To be filled up by the Depositor / Client Please fill all the details in **BLOCK LETTERS** in English.)

I/We request you for re-assaying the enclosed commodity in my/our name into my/our commodity account.

RP ID	1	2	0	1	7	0	0	0	Client ID								
Client Name																	

WSP ID*		WSP Name	
WH ID*		WH Location*	
Commodity Code*		Commodity Name	
Quantity*		UOM*	
Quantity in figures*			

SR. NO.	WR Tupe eWR / eNWR	WR Number	Quantity	Shelf life Date	Sample Number
1					
2					
3					
4					
5					

Attach an annexure (duly signed by client/s) in the above format if the space is not sufficient.

Signature of Clients (s)*

	Sole/First Authorised Signatory	**Second Authorised Signatory**	**Third Authorised Signatory**
Name			
Signature as per RP Records			

Depositor / Client Signature

(Please Tear Here)

Acknowledgement Receipt

Date	D	D	M	M	Y	Y	Y	Y

RP ID	1	2	0	1	7	0	0	0	Client ID								
Client Name																	
Commodity Name																	
Quantity																	
UOM																	
WSP ID																	
WH Location																	

We hereby acknowledge the receipt of re-assaying request, in respect of the above commodity subject to verification.

WSP / WH Seal & Signature

Indira Securities Pvt. Ltd.

204, 205, Amardarshan Building, 28/2 Old Palasia, Indore - 452018 (M.P.)

PLEDGE REQUEST FORM (PRF)

RP ID - 12017000

☐ **Setup of Pledge** ☐ **Confirmation of Pledge**

Please fill in the details in **Block Letters** in English

Pledge closure Request No.*									Request Date*	D	D	M	M	Y	Y	Y	Y
Execution Date*	D	D	M	M	Y	Y	Y	Y									

I/We request you to create the pledge / confirm the creation of pledge for the following commodity. I/We have read and understood the WDRA Act, Rules and Regulations, CCRL Bye Laws and Business Rules in relation to pledge of commodities and I/We agree to abide by and be bound by the WDRA Act, Rules and Regulations, CCRL Bye Laws and Business Rules as are in force from time to time for such pledges.

Pledgor's Details *																
RP ID	1	2	0	1	7	0	0	0	Client/Depositor ID*								
Pledgor Name																	

Pledgee's Details															
☐ CC	CC ID*								CC Name					☐ Inter Repository	
RP ID*									RP Name						
Pledgee client ID*									Pledgee Client Name						

WH ID.*		WSP Name	
Commodity Code*		Commodity Name	

Details of Commodity offered for Pledge.*

eNWR Number	Pledge number of Bags/ Packs	Pledge Value	Accepted / Rejected by Pledge (To be filled by pledgee only)

Attach an annexure duly signed by the account holder(s), if the space above is insufficient.

To be filled and signed in case of Set-up of Pledge by Pledgor Client*

Signature of the Pledgor*

Sole / First Authorised Signatory	Sole / Fist Authorised Signatory	Sole / First Authorised Signatory

As a pledgee, I/We are aware that the pledge recorded in the system only prohibits the Pledgor from dealing with commodity until redemption/ maturity/shelf life date of the commodity pledged and that the records of pledged commodity may be removed from the system as a result of redumption/maturity/shelf life date of the commodity.

To be filled in case of acceptance of Pledge by Pledgee Client*

Pledge Expiry Date*	D	D	M	M	Y	Y	Y	Y	Agreement No.*	
Date of Pledging*	D	D	M	M	Y	Y	Y	Y		

Signature of the Pledgee(s)*

Sole / First Authorised Signatory	Sole / Fist Authorised Signatory	Sole / First Authorised Signatory

* If the Pledgee ia a bank RP, the signature of the pledgee need not be taken on the pledge request form.

I/We confirm that the details of the Depositor/Client account holders stated above are true and correct. Also, the balance of eWR/eNWR offered for pledge is unencumbered and is a free balance. I hereby understand that Pledge closure / Pledge invocation will be executed on full or partial pledged Quantity.

---------- (Please Tear Here) ----------

Acknowledgement Receipt

Received pledge request form:

Pledge Request No.		Request Date	D	D	M	M	Y	Y	Y	Y

For: Indira Securities Pvt. Ltd.

Signature of the Authorised Signatory

Indira Securities Pvt. Ltd.

204, 205, Amardarshan Building, 28/2 Old Palasia, Indore - 452018 (M.P.)

INVOCATION REQUEST FORM (IRF)

RP ID - 12017000

Please fill all the details in Block Letters in Egnlish. To be filled by the pledgee.

Invocation Request No.*								Date*	D	D	M	M	Y	Y	Y	Y
Date of Invocation*	D	D	M	M	Y	Y	Y	Y								
Pledge Sequence No.*																

I/We request you to set up an Invocation request on my/our behalf. I/We have read and understood the WDRA Act, Rules and Regulations, CCRL Bye Laws and Business Rules in relation to Invocation of commodities and I/We agree to abide by and be bound by the WDRA Act, Rules and Regulations, CCRL Bye Laws and Business Rules as are in force from time to time for such Invocation.

Pledgor's Details *																
RP ID	1	2	0	1	7	0	0	0	Client/Depositor ID*								
Pledgor Name																	

Pledgee's Details																
☐ CC	CC ID*								CC Name							☐ Inter Repositor
RP ID*									RP Name							
Pledgee client ID*									Pledgee Client Name							

WH ID.*		WSP Name	
Commodity Code*		Commodity Name	

eNWR Number	Pledge number of Bags/ Packs	Number of Bags / Packs to Invoked	Accepted / Rejected by Pledge

Attach an annexure duly signed by the Pledgee(s), if the space above is insufficient.

The invocation request is being set up for the reasons mentioned hereunder:-

Signature of the Pledger*

Sole / First Authorised Signatory	Sole / Fist Authorised Signatory	Sole / First Authorised Signatory

For: Indira Securities Pvt. Ltd.
Signature of the Authorised Signatory

(Please Tear Here)

Acknowledgement Receipt

Received de-pledge request form :

Pledge closure Request No.		Request Date	D	D	M	M	Y	Y	Y	Y

For: Indira Securities Pvt. Ltd.
Signature of the Authorised Signatory

Indira Securities Pvt. Ltd.

204, 205, Amardarshan Building, 28/2 Old Palasia, Indore - 452018 (M.P.)

WITHDRAWAL REQUEST FORM (WRF)

(To be filled up by the Repository Participant)

Please fill all the details in **BLOCK LETTERS** in English.

Withdrawal Requent No.*		Request Date*	D	D	M	M	Y	Y	Y	Y

I/We request you to arrange to withdrawal set-up the commodity eWR/eNWR mentioned hereunder held in our commodity account.

Warehouse ID*									Commodity Code*		Commodity Name	
RP ID*	1	2	0	1	7	0	0	0	Client ID			
Client Name												

SR. NO.	WR Tupe eWR / eNWR	No. of Bags/Packs	Quantity
1			
2			
3			
4			
5			

Attach an annexure duly signed by the Pledgee(s), if the space above is insufficient.

Signature of Clients (s)*

	Sole/First Authorised Signatory	**Second Authorised Signatory**	**Third Authorised Signatory**
Name			
Signature as per RP Records			

I/We agree to abide by and be bound by the WDRA Act, Rules and Regulations, CCRL Bye Laws and Business Rules as are in force from time to time for such Withdrawal Request.

For: Indira Securities Pvt. Ltd.
Signature of the Authorised Signatory

(Please Tear Here)

Acknowledgement Receipt

WRF No.										Date	D	D	M	M	Y	Y	Y	Y
RP ID	1	2	0	1	7	0	0	0		Client ID								
Client Name																		
Quantity									No. of Bags									
Quantity in figure																		

For: Indira Securities Pvt. Ltd.
Signature of the Authorised Signatory